

# Sophie A. Shive

## Curriculum Vitae

Department of Finance  
Mendoza College of Business  
University of Notre Dame  
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### Appointments

Assistant Professor, University of Notre Dame, 2007-present.  
Lecturer, University of Notre Dame, 2006-2007.  
Visiting Lecturer, University of Notre Dame, 2005-2006.  
Visiting Scholar, Stanford University, Fall 2004.

### Education

University of Michigan, Ph.D. in Finance, December 2006.  
Cornell University, M.S. in Hotel Administration/Finance, 2000.  
Cornell University, B.S. in Hotel Administration/Finance, 1998.  
High School: Lycee International, St. Germain-en-Laye, France. Baccalaureat Francais  
Mention Bien, 1995.

### Publications

-“Local Investors, price discovery and market efficiency.” Forthcoming, *Journal of Financial Economics*. Best paper award, Financial Research Association 2010.  
-“Thinning the herd: The impact of venture capital on firm performance,” with Tim Loughran., Forthcoming, *Journal of Behavioral Finance*.  
-“Mispricing of dual-class shares: profit opportunities, arbitrage, and trading” with Paul Schultz, *Journal of Financial Economics*, 2010 Vol 98 (3) 524-549.  
-“An Epidemic model of investor behavior,” *Journal of Financial and Quantitative Analysis*, 2010 Vol 45 (1) 169-198.  
-“Patriotism in your portfolio,” with Adair Morse, *Journal of Financial Markets*, 2010 Volume 14 (2) 411-440.

### Working Papers

“Are mutual funds sitting ducks?” with Hayong Yun. 2<sup>nd</sup> round, *Journal of Financial Economics*.

## Teaching

Finance 30600, Investment Theory, Notre Dame, Fall 2006-11. Ratings 4-4.5/5  
Finance 70690, Investment Principles, Notre Dame, Fall 2010-11. Ratings 4.2-4.3/5  
Finance 20150, Introductory Finance for Majors, Notre Dame, Spring 2006.  
Finance 310, Capital Markets and Portfolio Management, University of Michigan, Fall 2002.

## Ad Hoc Referee for:

*Journal of Finance, Review of Financial Studies, Journal of Financial and Quantitative Analysis, Journal of International Economics, Finance Letters, Journal of Financial Markets, Management Science, Pacific Basin Finance Journal.*

## External Presentations

2011: AFA (discussant), Dartmouth, Queen's University Behavioral Finance Conference, EFA, ICI/AIM Investment Center Conference at UT Austin, Penn State.  
2010: University of Maryland Annual Conference (discussant), University of Miami Annual Conference, Financial Research Association.  
2009: Financial Management Association, European Finance Association, Securities and Exchange Commission and The Ohio State University.  
2008: IU-Purdue-Notre Dame Finance Conference.  
2007: IU-Purdue-Notre Dame Finance Conference, Western Finance Association.  
2006: Stockholm School of Economics, University of Toronto.  
2005: FMA PhD seminar and PhD sessions.  
2004: Notre Dame University Behavioral Finance Conference, Swedish Institute for Financial Research, University of Michigan department of Mathematics.  
2003: University of Michigan department of Economics, INFORMS.

## Awards

Best paper award, Financial Research Association, 2010.  
Best Performance award, given to 2nd year students, University of Michigan, 2002.  
Undergraduate Research award, Cornell University, 1998.