

Sharp Matsusaka-type theorems on surfaces*

M.C. Beltrametti, A.J. Sommese

Abstract

Let L be an ample line bundle on a smooth surface S . We give sharp lower bounds for tL to be k -jet ample based on the nefvalue of the pair (S, L) . We also give sharp lower bounds for tL to be k -spanned.

Introduction

Let L be an ample line bundle on a smooth projective surface S .

In §2 of these notes we restate Fernández Del Busto's Matsusaka-type theorem from [8] using the notion of nefvalue of the pair (S, L) . As a consequence we can use standard adjunction theory results to give sharp and stronger forms of the results in [8].

In §3 we prove a Matsusaka-type theorem for line bundles on surfaces (see Theorem (3.3)). We extend the arguments of Fernández Del Busto [8] to cover the case of k -spanned line bundles, and make some improvements that give better estimates even in the k -jet ample case studied in [8].

In the case of k -spannedness we get a numerical bound for tL to be k -spanned, t positive integer, which is linear in k , while the corresponding bound in [8] for tL to be k -jet ample is quadratic in k (see Theorem (3.3)).

The notions of k -spannedness, k -very ampleness and k -jet ampleness are k -th order embedding notions, which have been extensively studied in the last few years (see (1.2) and also §§8.5, 10.7 of the book [6] for more references on this topics).

In §1 we recall some background material we need.

The second author thanks the National Science Foundation (DMS 93-02121) for their support.

1 Background material

1.1 Notation. We work over the complex field \mathbb{C} . By *variety* we mean an irreducible and reduced projective scheme, V . We denote the structure sheaf by \mathcal{O}_V .

Basically we use the standard notation from algebraic geometry. Let us only fix the following.

$h^i(\mathcal{F})$, the complex dimension of $H^i(V, \mathcal{F})$, for a coherent sheaf \mathcal{F} on V , $i \geq 0$;

*1991 *Mathematics Subject Classification*. Primary 14J05, 14E25; Secondary 14C20 .

Keywords and phrases. Surface (smooth complex projective), line bundle, k -spannedness, k -very ampleness, k -jet ampleness, adjunction theory.

$\Gamma(L)$, the space of the global sections of a line bundle, L , on a variety V ; we say that L is *spanned* if it is spanned at all points of V by $\Gamma(L)$;

$|L|$, the complete linear system associated to a line bundle L ;

\approx (respectively \sim), the linear (respectively numerical) equivalence of divisors;

$V^{[r]}$ = the Hilbert scheme of all 0-dimensional schemes $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}})$ of V with $\text{length}(\mathcal{O}_{\mathcal{Z}}) = r$. Since we are working in characteristic zero, $\text{length}(\mathcal{O}_{\mathcal{Z}}) = h^0(\mathcal{O}_{\mathcal{Z}})$.

For a divisor $D \in \text{Pic}(V) \otimes \mathbb{Q}$ we denote

$\{D\}$, the integral part and $\lceil D \rceil = -\{-D\}$, the rounding up.

Line bundles and divisors are used with little (or no) distinction. We almost always use the additive notation.

1.2 k -th order embeddings. (See [3], [5], [4]) Fix a nonnegative integer k . Let L be a line bundle on a smooth projective variety X of dimension n . We say that L is *k -very ample* if the restriction map $\Gamma(L) \rightarrow \Gamma(\mathcal{O}_{\mathcal{Z}}(L))$ is onto for any 0-cycle $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}}) \in X^{[k+1]}$.

We say that L is *k -spanned* if $\Gamma(L) \rightarrow \Gamma(\mathcal{O}_{\mathcal{Z}}(L))$ is onto for any *curvilinear* 0-cycle $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}}) \in X^{[k+1]}$, i.e., $\text{length}(\mathcal{O}_{\mathcal{Z}}) = k + 1$ and \mathcal{Z} is locally contained in a smooth curve. More explicitly, if $\text{Supp}(\mathcal{Z}) = \{x_1, \dots, x_r\}$, x_1, \dots, x_r distinct points, then \mathcal{Z} is defined by the ideal sheaf $\mathcal{J}_{\mathcal{Z}}$ such that $\mathcal{J}_{\mathcal{Z}}\mathcal{O}_{X,x}$ is isomorphic to $\mathcal{O}_{X,x}$ if $x \notin \{x_1, \dots, x_r\}$ and $\mathcal{J}_{\mathcal{Z}}\mathcal{O}_{X,x_i}$ is generated by $(u_{i1}, \dots, u_{in-1}, u_{in}^{k_i})$ at x_i , where (u_{i1}, \dots, u_{in}) are local coordinates at x_i on X , with $\sum_{i=1}^r k_i = k + 1$. We also say in this case that k_i is the *local length* of \mathcal{Z} at x_i , $i = 1, \dots, r$.

Let x_1, \dots, x_r be r distinct points on X . Let \mathfrak{m}_i be the maximal ideal sheaves of the points x_i , $i = 1, \dots, r$. Consider the 0-cycle $\mathcal{Z} = k_1x_1 + \dots + k_rx_r$, $\sum_{i=1}^r k_i = k + 1$, defined by the ideal sheaf $\mathcal{J}_{\mathcal{Z}}$ such that $\mathcal{J}_{\mathcal{Z}}\mathcal{O}_{X,x}$ is isomorphic to $\mathcal{O}_{X,x}$ if $x \notin \{x_1, \dots, x_r\}$ and $\mathcal{J}_{\mathcal{Z}}\mathcal{O}_{X,x_i}$ is isomorphic to the k_i -th power of the maximal ideal $\mathfrak{m}_i\mathcal{O}_{X,x_i}$ of the local ring \mathcal{O}_{X,x_i} , $i = 1, \dots, r$. We say that L is *k -jet ample* if the restriction map $\Gamma(L) \rightarrow \Gamma(\mathcal{O}_{\mathcal{Z}}(L))$ is onto for any such 0-cycle $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}})$.

Note that for $k = 0$, the conditions L is 0-very ample, L is 0-spanned, L is 0-jet ample are all equivalent to L being spanned by $\Gamma(L)$. Furthermore for $k = 1$, the conditions L is 1-very ample, L is 1-spanned, L is 1-jet ample are all equivalent to L being very ample. Note also that k -jet ampleness implies k -very ampleness (see [5, Prop. 2.2]) and, of course, k -very ampleness implies k -spannedness (in fact, the notions of k -very ampleness and k -spannedness coincide for $k \leq 2$).

Let S be a smooth projective surface and let B be a big divisor on S , i.e., the Kodaira dimension $\kappa(B) = 2$. For $n \gg 0$, let $nB = M_n + F_n$ be the decomposition of nB in its moving part M_n and its fixed part F_n . In particular M_n is nef and big and $h^0(M_n) = h^0(nB)$. One has the following result (see [8], [11]).

Lemma 1.3 (Ein-Lazarsfeld-Nakamaye) *Notation as above. Assume that for $n \gg 0$ there is a constant $\beta > 0$ with $h^0(nB) \geq \frac{n^2}{2}\beta + O(n)$. Then, for $n \gg 0$,*

$$M_n^2 \geq n^2\beta + O(n).$$

Furthermore, let N be any nef and big divisor on S . Then

$$0 \leq \frac{1}{n} F_n \cdot N \leq B \cdot N - \sqrt{\beta} \sqrt{N^2}.$$

By a *real* divisor we mean an element of $\text{Pic}(S) \otimes_{\mathbb{Z}} \mathbb{R}$. By a nef real divisor R we mean an element $R \in \text{Pic}(S) \otimes_{\mathbb{Z}} \mathbb{R}$ such that $R \cdot C \geq 0$ for all effective curves C on S . We will also use the following consequence of the Riemann-Roch theorem (see [8, Lemma 1]).

Lemma 1.4 *Let \mathcal{E} be a Cartier divisor on a smooth projective surface S . Assume that as an element of $\text{Pic}(S) \otimes_{\mathbb{Z}} \mathbb{R}$, we have $\mathcal{E} = D - R$ where R is a nef real divisor and D is a positive real multiple of an ample Cartier divisor A . If $D^2 - 2D \cdot R > 0$, then for all $n \gg 0$ we have*

$$h^0(n\mathcal{E}) \geq \frac{n^2}{2}(D^2 - 2D \cdot R) + O(n).$$

Proof. Note that we have $A \cdot \mathcal{E} = A \cdot D - A \cdot R > A \cdot R \geq 0$. Thus we conclude that $h^2(n\mathcal{E}) = h^0(K_S - n\mathcal{E}) = 0$ for all $n \gg 0$. Therefore from the Riemann-Roch theorem we have for all $n \gg 0$ that

$$h^0(n\mathcal{E}) \geq \mathcal{E}^2 \frac{n^2}{2} + O(n).$$

Now note that $\mathcal{E}^2 = D \cdot (D - 2R) + R^2 \geq D \cdot (D - 2R)$.

Q.E.D.

Definition 1.5 *Let A be an ample Cartier divisor on a smooth surface S . The nefvalue of the pair (S, A) is the real number τ defined by*

$$\tau = \inf\{r \in \mathbb{Q}, K_S + rA \text{ is nef}\}.$$

2 A sharp Matsusaka-type theorem for k -jet ampleness

The following is a simple consequence of Fernández Del Busto's theorem, but by acknowledging directly the adjunction theory [6], we can use known adjunction theory results to obtain considerably sharper lower bounds for k -jet ampleness.

Theorem 2.1 *Let A be an ample divisor on a nonsingular projective surface S . Let τ be the nefvalue of the pair (S, A) , or any real value greater than the nefvalue of the pair (S, A) . If*

$$t + \tau > \frac{1}{2} \left(\frac{(A \cdot (K_S + \tau A) + 1)^2}{A^2} + k^2 + 4k + 6 \right)$$

then tA is k -jet ample.

Proof. This follows from [8, Theorem*, page 520]. We need only show that

$$\frac{1}{2} \left(\frac{(A \cdot (K_S + \tau A) + 1)^2}{A^2} + k^2 + 4k + 6 - 2\tau \right) > \frac{1}{2} \left(\frac{(A \cdot K_S + 1)^2}{A^2} + k^2 + 4k + 6 - K_S^2 \right)$$

or equivalently that

$$(A \cdot (K_S + \tau A) + 1)^2 > (A \cdot K_S + 1)^2 + 2\tau A^2 - A^2 K_S^2.$$

Expanding and simplifying, we see that this is equivalent to $A^2(K_S + \tau A)^2 \geq 0$, which is the case since A is ample and $K_S + \tau A$ is nef. Q.E.D.

Corollary 2.2 *Let A be an ample divisor on a nonsingular projective surface S . Let τ be the nefvalue of the pair (S, A) , or any real value greater than the nefvalue of the pair (S, A) . If*

$$t + \tau > \frac{1}{2} \left(\frac{(A \cdot (K_S + \tau A) + 1)^2}{A^2} + 11 \right)$$

then tA is very ample.

Adjunction theory gives much information on pairs in terms of their nefvalues. Using the classification of Lanteri and Palleschi [10] (see also [12, Corollary 3.4.2]), we see that either $\tau \leq 2$ or (S, A) is $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1))$, in which case the estimate is clear.

Corollary 2.3 *Let A be an ample divisor on a nonsingular projective surface. If*

$$t > \frac{1}{2} \left(\frac{(A \cdot (K_S + 2A) + 1)^2}{A^2} + k^2 + 4k + 2 \right)$$

then tA is k -jet ample.

Corollary 2.4 *Let A be an ample divisor on a nonsingular projective surface. If*

$$t > \frac{1}{2} \left(\frac{(A \cdot (K_S + 2A) + 1)^2}{A^2} + 7 \right)$$

then tA is very ample.

Using again the classification of Lanteri and Palleschi [10] (see also [12, Corollary 3.4.2]), we see that either $\tau \leq 1$ or S is \mathbb{P}^2 , or a smooth quadric, in which case the estimate is clear, or (S, A) is a scroll.

Corollary 2.5 *Let A be an ample divisor on a nonsingular projective surface, and assume that (S, A) is not a scroll (neither \mathbb{P}^2 nor a quadric). If*

$$t > \frac{1}{2} \left(\frac{(A \cdot (K_S + A) + 1)^2}{A^2} + k^2 + 4k + 4 \right)$$

then tA is k -jet ample.

Corollary 2.6 *Let A be an ample divisor on a nonsingular projective surface, and assume that (S, A) is not a scroll. If*

$$t > \frac{1}{2} \left(\frac{(A \cdot (K_S + A) + 1)^2}{A^2} + 9 \right)$$

then tA is very ample.

Remark 2.7 The above result is sharp, i.e, the assumption that (S, A) is not a scroll is essential. Let $S = \mathbb{P}(\mathcal{E} \oplus \mathcal{L})$ where \mathcal{E} is a degree 1 line bundle on a smooth genus g curve C and \mathcal{L} is a degree δ line bundle on C . Let A denote the tautological line bundle on S . If the corollary was true for scrolls then by restricting to the section corresponding to

the quotient morphism $\mathcal{E} \oplus \mathcal{L} \rightarrow \mathcal{E} \rightarrow 0$ we would have that g equals the sectional genus $g(A) = \frac{(K_S + A) \cdot A}{2} + 1$ and hence that $t\mathcal{E}$ is very ample for

$$t > \frac{1}{2} \left(\frac{(2g-1)^2}{2\delta} + 9 \right).$$

By choosing $\delta \gg 0$ we would obtain the absurdity that given any degree 1 line bundle \mathcal{E} on an arbitrary curve C , we would have $5\mathcal{E}$ is very ample.

Remark 2.8 It is a theorem of the authors [5] that if a line bundle A is 1-jet ample, then kA is k -jet ample. Asymptotically this implies that if A is ample, then there is a $t_0 > 0$ such that kt_0A is k -jet ample. It is therefore natural to ask the following question.

Question 2.9 Let $t(k)$ be the minimum k such that $t(k)A$ is k -jet ample. Then compute the lim inf and the lim sup of $\frac{t(k)}{k}$ as $k \rightarrow \infty$.

3 A Matsusaka-type theorem for k -spannedness

In what follows $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}})$ will denote a curvilinear 0-cycle of length $k+1$ on a smooth projective surface S , and L an ample line bundle on S .

3.1 Blowing up of curvilinear 0-cycles. Here we only carry out from [2, §2] the case when $\text{Supp}(\mathcal{Z})$ is a single point z . The discussion in the case $\text{Supp}(\mathcal{Z}) = \{z_1, \dots, z_r\}$, $1 \leq r \leq k+1$, is a straightforward modification of it.

Let $\pi_\alpha : S_\alpha \rightarrow S_{\alpha-1}$ be the blowing up of $S_{\alpha-1}$ at a point $p_{\alpha-1}$, $E_\alpha = \pi_\alpha^{-1}(p_{\alpha-1})$ the exceptional divisors, $\alpha = 1, \dots, k+1$, \overline{E}_α the proper transforms of E_α under $\pi_{k+1} \circ \dots \circ \pi_{\alpha+1}$, $\alpha = 1, \dots, k$, and $\overline{E}_{k+1} = E_{k+1}$. We have

$$\overline{E}_\alpha \cdot \overline{E}_\beta = 1 \text{ if } |\alpha - \beta| = 1; \quad \overline{E}_\alpha \cdot \overline{E}_\beta = 0 \text{ if } |\alpha - \beta| > 1;$$

and

$$\overline{E}_\alpha \cdot \overline{E}_\alpha = -2 \text{ if } \alpha \neq k+1; \quad \overline{E}_{k+1} \cdot \overline{E}_{k+1} = -1.$$

Let $\overline{S} = S_{k+1}$, $S_0 = S$, $p_0 = z$ and denote by $\pi : \overline{S} \rightarrow S$ the composition of the π_α 's. Let $\mathcal{J}_{\mathcal{Z}}$ be the ideal sheaf of \mathcal{Z} in S . By a suitable choice of the points p_α 's it is easy to check that

$$\pi^* \mathcal{J}_{\mathcal{Z}} \approx -\overline{E}_1 - 2\overline{E}_2 - \dots - (k+1)\overline{E}_{k+1},$$

and

$$K_{\overline{S}} \approx \pi^* K_S + \overline{E}_1 + 2\overline{E}_2 + \dots + (k+1)\overline{E}_{k+1}.$$

In the general case, let k_i be the length of \mathcal{Z} at x_i , $i = 1, \dots, t$. That is $\mathcal{J}_{\mathcal{Z}} \mathcal{O}_{S, x_i}$ is generated by $(u_i, v_i^{k_i})$, where u_i, v_i are local coordinates at x_i on S , $i = 1, \dots, t$. Then the exceptional divisor of the blowing up along \mathcal{Z} is $\overline{E} = \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \overline{E}_\alpha^{(i)}$, where the $\overline{E}_\alpha^{(i)}$'s are the proper transforms of the exceptional divisors $E_\alpha^{(i)}$'s constructed as above and corresponding to each point z_i , $i = 1, \dots, r$.

We need the following improvement of [8, Lemma 3]. We will use the notation $\widetilde{\beta}(t)$ to keep the analogy with [8] clear.

Lemma 3.2 *Let t, d, d_1, σ be integers and let x be a real number. Assume that $d \geq 1$, $t \geq 0$, and $\sigma \geq 4$ and define $\widetilde{\beta}(t) := (t+x)((t-x)d - 2d_1)$. If*

$$t > \frac{1}{2} \left(\frac{(d_1 + xd + 1)^2}{d} + \sigma - 2x \right)$$

then

1. $\widetilde{\beta}(t) > \sigma$; and
2. $td - d_1 - \sqrt{d(\widetilde{\beta}(t) - \sigma)} < 1$.

Proof. Note that using the hypothesized lower bound we have

$$t + x > \sigma/2 \geq 2. \tag{1}$$

Assume that $\widetilde{\beta}(t) \leq \sigma$, i.e., that $(t+x)((t-x)d - 2d_1) \leq \sigma$. Using the hypothesized lower bound for t we have:

$$(t+x) \left(\frac{1}{2} \left[(d_1 + xd + 1)^2 + d\sigma - 2dx \right] - xd - 2d_1 \right) \leq \sigma.$$

Noting that

$$\frac{1}{2} \left[(d_1 + xd + 1)^2 + d\sigma - 2dx \right] - xd - 2d_1 = \frac{1}{2} \left[(-d_1 - xd + 1)^2 + d\sigma \right]$$

we have

$$\frac{(t+x)d\sigma}{2} \leq (t+x) \left(\frac{1}{2} \left[(-d_1 - xd + 1)^2 + d\sigma \right] \right) \leq \sigma.$$

Thus we must have $d(t+x) \leq 2$, which contradicts equation (1). Therefore we have that $\widetilde{\beta}(t) > \sigma$.

Now assume that the second inequality we want to prove is false, i.e., that $td - d_1 - \sqrt{d(\widetilde{\beta}(t) - \sigma)} \geq 1$. We then have

$$td - d_1 - 1 \geq \sqrt{d(\widetilde{\beta}(t) - \sigma)}.$$

Squaring both sides and substituting for $\widetilde{\beta}(t)$ we have

$$(td - d_1 - 1)^2 \geq d((t+x)((t-x)d - 2d_1) - \sigma).$$

Simplifying and dividing by $2d$ we have

$$t \leq \frac{1}{2} \left(\frac{(d_1 + xd + 1)^2}{d} + \sigma - 2x \right)$$

which contradicts the hypothesized lower bound for t .

Q.E.D.

We can prove now an effective version of Matsusaka's Big Theorem in case of k -spannedness. The proof of the theorem below is due to Fernández Del Busto. The argument we give is a modification of his proof. The difference is that we deal with curvilinear 0-cycles of given length. This allows us to obtain a linear bound in k instead of a quadratic bound as in the corresponding result for k -jet ampleness proved in [8] (compare with [8, §1] and Corollary (3.4) below).

Theorem 3.3 *Let L be an ample line bundle on a nonsingular projective surface S . Let τ be the nefvalue of the pair (S, L) or any real value greater than the nefvalue of the pair (S, L) . If*

$$t + \tau > \frac{1}{2} \left(\frac{(L \cdot (K_S + \tau L) + 1)^2}{L^2} \right) + 2k + 3,$$

then tL is k -spanned.

Proof. Through the proof we will use Lemma (3.2) with $d := L^2$, $d_1 := L \cdot K_S$ and $x = \tau$. Let $(\mathcal{Z}, \mathcal{O}_{\mathcal{Z}})$ be a curvilinear 0-cycle on S of length $k + 1$, with $\text{Supp}(\mathcal{Z}) = \{z_1, \dots, z_r\}$, and let k_1, \dots, k_r be r positive integers such that $\sum_{i=1}^r k_i = k + 1$, with k_i the local length of \mathcal{Z} at z_i , $i = 1, \dots, r$, as in (1.2). Let $\mathcal{J}_{\mathcal{Z}}$ be the ideal sheaf of \mathcal{Z} in S . Let π be the blowing up of S along the 0-cycle \mathcal{Z} . Then to show that tL is k -spanned, it suffices to show that, with the same notation as in (3.1), and by using Leray's spectral sequence,

$$H^1(S, tL \otimes \mathcal{J}_{\mathcal{Z}}) \cong H^1(\bar{S}, \pi^*(tL) - \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \bar{E}_{\alpha}^{(i)}) = (0). \quad (2)$$

Since $K_S + \tau L$ is nef, we can write $tL - K_S$, t a positive integer, as the difference of an ample real divisor and a nef real divisor

$$tL - K_S = (t + \tau)L - (K_S + \tau L). \quad (3)$$

Consider the divisor

$$B := \pi^*(tL - K_S) - 2 \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \bar{E}_{\alpha}^{(i)}.$$

For a positive integer n , consider the exact sequence

$$0 \rightarrow nB \rightarrow \pi^*(n(tL - K_S)) \rightarrow Q \rightarrow 0,$$

where Q denotes the quotient sheaf. Since

$$h^0(Q) = \sum_{i=1}^r h^0(\mathcal{O}_S / (x_i, y_i^{k_i})^{2n}) = \sum_{i=1}^r \ell(\mathcal{O}_S / (x_i, y_i^{k_i})^{2n}),$$

we have that

$$h^0(nB) \geq h^0(n(tL - K_S)) - \sum_{i=1}^r \ell(\mathcal{O}_S / (x_i, y_i^{k_i})^{2n}), \quad (4)$$

where (x_i, y_i) are local coordinates at the points z_i , $i = 1, \dots, r$. Now, let $\sigma := 4(k + 1)$ and let $\beta(t)$ be as in (3.2). Let us assume

$$t > \frac{1}{2} \left(\frac{(L \cdot (K_S + \tau L) + 1)^2}{L^2} + 4(k + 1) - 2\tau \right). \quad (5)$$

Thus by Lemma (3.2) we conclude that

$$\widetilde{\beta(t)} - 4(k+1) > 0. \quad (6)$$

Therefore, recalling (3), Lemma (1.4) applies (with $D = (t + \tau)L$, $R = K_S + \tau L$, so that $\widetilde{\beta(t)} = D \cdot (D - 2R)$) to say that, for $n \gg 0$,

$$h^0(n(tL - K_S)) \geq \frac{n^2}{2} \widetilde{\beta(t)} + O(n).$$

On the other hand,

$$\ell(\mathcal{O}_S/(x_i, y_i^{k_i})^{2n}) = k_i \frac{2n(2n+1)}{2} = k_i n(2n+1), \quad i = 1, \dots, r.$$

Thus we infer from (4) that, for $n \gg 0$,

$$\begin{aligned} h^0(nB) &\geq \frac{n^2}{2} \widetilde{\beta(t)} - n(2n+1) \sum_{i=1}^r k_i + O(n) \\ &= \frac{n^2}{2} \widetilde{\beta(t)} - n(2n+1)(k+1) + O(n) \\ &= \frac{n^2}{2} (\widetilde{\beta(t)} - 4(k+1)) + O(n) \end{aligned}$$

Thus, recalling (6), we conclude that B is big.

Let $nB = M_n + F_n$ be the decomposition of nB in its moving part M_n and its fixed part F_n . Then by Lemma (1.3) we have

$$\begin{aligned} \frac{1}{n} F_n \cdot \pi^* L &\leq B \cdot \pi^* L - \sqrt{\widetilde{\beta(t)} - 4(k+1)} \sqrt{(\pi^* L)^2} \\ &= (tL - K_S) \cdot L - \sqrt{\widetilde{\beta(t)} - 4(k+1)} \sqrt{L^2}. \end{aligned}$$

Therefore, by Lemma (3.2),

$$\frac{1}{n} F_n \cdot \pi^* L < 1$$

and hence the irreducible components of the divisor $\left\{ \frac{1}{n} F_n \right\}$ are exceptional, that is

$$\left\{ \frac{1}{n} F_n \right\} = \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \eta_\alpha \overline{E}_\alpha^{(i)}, \quad \eta_\alpha \geq 0. \quad (7)$$

Fix $n \gg 0$. By Bertini's theorem we can choose a general divisor D in $|nB|$ such that if $D = M_n + F_n$, with F_n the fixed part of nB , then M_n is reduced. Consider the divisor

$$\mathcal{D} := \pi^*((t+1)L - K_S) - 2 \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \overline{E}_\alpha^{(i)} - \frac{1}{n} D.$$

Since $n\mathcal{D} = n\pi^*L$, the divisor \mathcal{D} is numerically equivalent to π^*L and hence \mathcal{D} is nef and big. Since M_n is reduced, one has $\left\{ \frac{M_n}{n} \right\} = 0$, so that $\left\{ \frac{D}{n} \right\} = \left\{ \frac{F_n}{n} \right\}$, and therefore

$$\left[\frac{-D}{n} \right] = - \left\{ \frac{D}{n} \right\} = - \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \eta_\alpha \overline{E}_\alpha^{(i)}.$$

By using (7), the Kawamata-Viehweg vanishing theorem thus implies the vanishing of the higher cohomology groups of the divisor

$$\mathcal{F} := K_{\overline{S}} + \left[\pi^*((t+1)L - K_S) - 2 \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \overline{E}_\alpha^{(i)} - \frac{D}{n} \right] \quad (8)$$

$$= (t+1)\pi^*L - \sum_{i=1}^r \sum_{\alpha=1}^{k_i} (\alpha + \eta_\alpha) \overline{E}_\alpha^{(i)}. \quad (9)$$

In particular $H^1(\overline{S}, \mathcal{F}) = (0)$. This implies that

$$H^1(\overline{S}, (t+1)\pi^*L - \sum_{i=1}^r \sum_{\alpha=1}^{k_i} \alpha \overline{E}_\alpha^{(i)}) = (0)$$

and hence that $(t+1)L$ is k -spanned. Recalling the assumption (5), we are done. Q.E.D.

For $k = 1$, the result gives a slightly sharper result for very ampleness than the result of the last section (compare also with [7, (13.10)]).

Corollary 3.4 *Let L be an ample line bundle on a nonsingular projective surface S , then tL is very ample if $t + \tau > \frac{(L \cdot (K_S + \tau L) + 1)^2}{2L^2} + 5$.*

Proof. Note that $\tau \leq 3$ (see e.g., [10]). Then use (3.3) with $k = 1$. Q.E.D.

In particular using adjunction theory as before (to obtain Corollaries (2.4), (2.6)) we have the following.

Corollary 3.5 *Let L be an ample line bundle on a nonsingular projective surface S . Then*

1. tL is very ample if $t > \frac{(L \cdot (K_S + 2L) + 1)^2}{2L^2} + 3$;
2. If (X, L) is not a scroll, then tL is very ample if $t > \frac{(L \cdot (K_S + L) + 1)^2}{2L^2} + 4$.

Remark 3.6 (The Del Pezzo surface case (cf., [2, (2.6)] and [5, (5.2)]) Let (S, L) be a Del Pezzo surface, i.e., $L = -K_S$ is ample and $\tau = 1$ is the nefvalue. The result (2.6) of [2] gives in the worst case that $-tK_S$ is k -spanned if $t \geq k + 2$. Theorem (3.3) gives

- Assume $t > \frac{1}{2} \left(\frac{1}{K_S^2} + 4k + 4 \right)$, i.e., $t \geq 2k + 3$. Then $-tK_S$ is k -spanned.

4 An asymptotic result for k -very ampleness

In this section we show an asymptotic result for k -very ampleness and a few consequences of it.

Theorem 4.1 *Let L be an ample line bundle on a smooth surface S . Let k_0 be an integer such that $A := (k_0 - 1)L - K_S$ is nef and big and such that $A \cdot L \geq 2$. Then for $t \geq k + k_0$, tL is k -very ample and therefore k -spanned.*

Proof. Note that

$$\begin{aligned} ((k+k_0)L - K_S)^2 &= ((k+1)L + A)^2 \\ &\geq (k+1)^2 + 4(k+1) + 1 \geq k^2 + 6k + 6. \end{aligned} \quad (10)$$

Using the main theorem of [4] it follows that $tL = K_S + (tL - K_S)$ is k -very ample if $tL - K_S$ is nef and big; $(tL - K_S)^2 \geq 4k + 5$; and there exist no effective divisors C on S with

$$(tL - K_S) \cdot C - k - 1 \leq C^2 < \frac{(tL - K_S) \cdot C}{2} < k + 1. \quad (11)$$

For $t \geq k + k_0$ we have $tL - K_S = (t - k_0 + 1)L + A$ is ample and $(tL - K_S)^2 \geq k^2 + 6k + 6 > 4k + 5$. Assume that there was an effective C on S with

$$(tL - K_S) \cdot C - k - 1 \leq C^2 < \frac{(tL - K_S) \cdot C}{2} < k + 1.$$

Note that

$$(tL - K_S) \cdot C \geq k + 2. \quad (12)$$

To see this note that $(tL - K_S) \cdot C = (t - k_0 + 1)L \cdot C + A \cdot C \geq (k + 1)L \cdot C + A \cdot C$. Thus $(tL - K_S) \cdot C \geq k + 1$. If $(tL - K_S) \cdot C < k + 2$ we have that $A \cdot C = 0$. Since C is effective and A is nef and big we conclude by the Hodge index theorem that $C^2 < 0$. But this contradicts $0 \leq (tL - K_S) \cdot C - k - 1 \leq C^2$. Thus $(tL - K_S) \cdot C \geq k + 2$. Therefore $C^2 \geq 1$ by (11). Note that $C^2 \geq 1$ also implies that $A \cdot C \geq 1$.

From $(k + 1)L \cdot C + A \cdot C \leq (tL - K_S) \cdot C \leq 2k + 1$ we conclude that $L \cdot C = 1$. Thus, since $C^2 \geq 1$, we conclude that

$$L^2 = C^2 = 1 \quad (13)$$

and therefore by the Hodge index theorem $L \sim C$. Thus $A \cdot C = A \cdot L \geq 2$.

Therefore $(tL - K_S) \cdot C \geq (t - k_0 + 1)L \cdot C + A \cdot C \geq k + 1 + A \cdot C \geq k + 3$. Thus we have the contradiction $C^2 \geq (tL - K_S) \cdot C - k - 1 \geq 2$ to equation (13). Q.E.D.

Corollary 4.2 *Assume that A is a nef and big line bundle on a smooth surface S such that $K_S + A$ is ample. Then $(k + 2)(K_S + A)$ is k -very ample and therefore k -spanned.*

Proof. We take $L := K_S + A$ and $k_0 = 2$ in Theorem (4.1). Indeed $A = (k_0 - 1)(K_S + A) - K_S$ is nef and big. Also $A \cdot L = A \cdot (K_S + A)$ is positive since both bundles are nef and big, and is even by the usual parity relation. Q.E.D.

Corollary 4.3 *Let L be an ample line bundle on a smooth surface S . There exists an integer $k_0 > 0$ such that for $t \geq k + k_0$, tL is k -very ample and therefore k -spanned.*

Proof. Choose a $k_0 > 0$ such that $A := (k_0 - 1)L - K_S$ is ample and $A \cdot L \geq 2$. Now apply the above theorem. Q.E.D.

In the case of surfaces with ample canonical bundle we have the following (compare with [1, (5.4)]).

Corollary 4.4 *Assume that L is an ample line bundle on a smooth surface S and $K_S \sim rL$ for some $r > 0$. Then tL is k -very ample and therefore k -spanned for $t \geq k + r + 3$. If further $L^2 \geq 2$, then tL is k -very ample and therefore k -spanned for $t \geq k + r + 2$.*

Proof. First assume that $L^2 \geq 2$. We can take $k_0 = r + 2$ in Theorem (4.1) since $(k_0 - 1)L - K_S \sim (k_0 - r - 1)L$ is ample and $((k_0 - 1)L - K_S) \cdot L \geq 2$ in this case. If $L^2 = 1$, then take $k_0 = r + 3$. Q.E.D.

Taking $r = 1$ we have the following result.

Corollary 4.5 *Assume that K_S is ample on a smooth surface S . Then tK_S is k -very ample and therefore k -spanned for $t \geq k + 4$. If further $K_S^2 \geq 2$, then tK_S is k -very ample and therefore k -spanned for $t \geq k + 3$.*

Remark 4.6 To write down an explicit k_0 in Theorem (4.1) is straightforward. First use Fernández Del Busto's result to choose an explicit k' such that $k'L$ is very ample. Now by the usual formula for the doublepoint divisor [9] we have that $(k'^2L^2 - 4)L - K_S$ is spanned. Thus $(k'^2L^2 - 2)L - K_S$ is ample and satisfies

$$L \cdot ((k'^2L^2 - 2)L - K_S) = L \cdot (((k'^2L^2 - 4)L - K_S) + 2L) \geq 2L^2 \geq 2.$$

Thus we can take $k_0 = k'^2L^2 - 1$.

It would be interesting to know what the best choice of k_0 is in Theorem (4.1). as $k \rightarrow \infty$, i.e., letting $t(k)$ be the minimal integer such that $t(k)L$ is k -very ample, find the the lim inf and the lim sup of $t(k) - k$ as $k \rightarrow \infty$.

References

- [1] M.C. Beltrametti, S. Di Rocco and A. Lanteri, "On higher order embeddings and n -connectedness," preprint.
- [2] M.C. Beltrametti, P. Francia and A.J. Sommese, "On Reider's method and higher order embeddings," preprint Max-Planck-Institut, 7, (1987). Abridged version by same title, Duke Math. J. 58 (1989), 425–439.
- [3] M.C. Beltrametti and A.J. Sommese, "On k -spannedness for projective surfaces," *Algebraic Geometry, Proceedings L'Aquila, 1988*, Lecture Notes in Math., 1417 (1990), 24–51, Springer-Verlag.
- [4] M.C. Beltrametti and A.J. Sommese, "Zero cycles and k -th order embeddings of smooth projective surfaces," *Projective Surfaces and their Classification, Proceedings Cortona, 1988*, Symposia Mathematica, INDAM, 32, (1992), 33–48, Academic Press.
- [5] M.C. Beltrametti and A.J. Sommese, "On k -jet ampleness," *Complex Analysis and Geometry*, (ed. by V. Ancona and A. Silva), The University Series in Mathematics, Plenum Press, (1993), 355–376.
- [6] M.C. Beltrametti and A.J. Sommese, *The Adjunction Theory of Complex Projective Varieties*, Expositions in Mathematics, 16, W. de Gruyter, 1995.
- [7] J.-P. Demailly, " L^2 vanishing theorems for positive line bundles and adjunction theory," CIME Session *Transcendental Methods in Algebraic Geometry*, Cetraro, Italy, July 1994, Prépublication de l'Institut Fourier, Grenoble, n. 288 (1994).
- [8] G. Fernández Del Busto, "A Matsusaka-type theorem for surfaces," *J. Algebraic Geometry* 5 (1996), 513–520.

- [9] S.L. Kleiman, “The enumerative theory of singularities,” in *Real and Complex Singularities, Oslo 1976*, ed. by P. Holme, 297–396, Alphen aan den Rijn, Sijthoff and Noordhoff, Rockville, Maryland, 1977.
- [10] A. Lanteri and M. Palleschi, “About the adjunction process for polarized algebraic surfaces,” *J. Reine Angew. Math.* 352 (1984), 15–23.
- [11] R. Lazarsfeld, “Lectures on linear series,” Park City/IAS Math. Series, vol. 3 (to appear).
- [12] A.J. Sommese, “The birational theory of hyperplane sections of projective threefolds,” unpublished 1981 preprint.

Mauro C. Beltrametti
Dipartimento di Matematica
Via Dodecaneso 35
I-16146 Genova, Italy
beltrame@dim.unige.it

Andrew J. Sommese
Department of Mathematics
Notre Dame, Indiana, 46556, U.S.A
sommese.1@nd.edu
<http://www.nd.edu/~sommese/index.html>