

## Underwriter Ranks:

The sample is from Corwin and Schultz (2004) and includes 669 underwriters involved in at least one IPO syndicate from January 1997 through June 2002. See Corwin and Schultz (2004) for a description of the IPO sample. The Ritter Rank is an adjusted Carter-Manaster rank based on rankings from Jay Ritter's web site. The Megginson-Weiss rank is a ranking based on market share of offer proceeds, with full credit given to the lead underwriter. The Underwriting Rank is a new ranking based on the proportion of offer proceeds underwritten by each underwriter, as listed in the final prospectus. Both Megginson-Weiss ranks and Underwriting ranks are adjusted to account for underwriters that enter or leave the sample as a result of mergers and acquisitions. Underwriters involved in a merger are treated as a new entity after the merger. For example, Morgan Stanley Dean Witter is listed separately from Morgan Stanley or Dean Witter.

	UNDERWRITER	UNDERWRITING RANK	RITTER RANK	MEGGINSON-WEISS RANK	NOFFER	NLEAD	UW CITY	UW STATE
1	GOLDMANSACHS	9.24930	9.1	20.34593	406	138	New York	New York
2	MORGANSTANLEYDW	9.16679	9.1	16.85614	427	104	New York	New York
3	MERRILLYNCH	7.82039	9.1	6.21905	519	75	New York	New York
4	CITISALOMONSMITH	7.73478	9.1	15.74441	329	46		New York
5	CSFIRSTBOSTON	7.65434	9.1	7.88106	393	131	New York	New York
6	SALOMONSMITH	7.56635	9.1	6.52327	101	13	New York	New York
7	JPMORGANCHASE	6.61314	9.1	0.46857	42	3		New York
8	DLJ	6.19246	9.1	6.55685	574	81	New York	New York
9	MORGANSTANLEY	5.53809	9.1	6.63389	45	7	New York	New York
10	UBSPAINWEBBER	5.35545	8.1	1.71317	59	8		New York
11	BTALEXBROWN	4.47900	8.1	4.28683	283	33	New York	New York
12	DEUTSCHEALEXBROWN	4.16979	9.1	2.01208	303	36	New York	New York
13	LEHMAN	4.08866	9.1	3.30541	473	65	New York	New York
14	BBOSTONROBERTSON	4.07745	8.1	3.59278	190	26	San Francisco	California
15	MONTGOMERY	4.03522	8.1	5.10618	108	21	San Francisco	California
16	NATIONSBANCMONT	3.90440	8.1	3.42736	160	20	Charlotte	North Carolina
17	BEARSTEARNS	3.83116	8.1	3.18047	500	56	New York	New York
18	SMITHBARNEY	3.70637	8.1	2.87189	146	13	New York	New York
19	ALEXBROWN	3.26226	8.1	5.25485	116	14	Baltimore	Maryland
20	HAMBRECHT	3.24856	8.1	2.28455	435	44	San Francisco	California
21	BAROBERTSON	3.19642	8.1	2.82217	140	21	San Francisco	California
22	SALOMONBROS	3.18048	9.1	3.58786	82	8	New York	New York
23	FLEETROBERTSON	3.07134	8.1	2.87681	278	48		California
24	JPMORGAN	3.06360	9.1	2.63670	179	18	New York	New York
25	Chase H&Q	3.03449	8.1	1.79713	182	24		California
26	BANCAMERICA	2.60291	8.1	1.70373	325	20	San Francisco	California
27	DEANWITTER	2.31002	8.1	0.29697	23	1	New York	New York
28	UBSWARBURG	2.30178	8.1	0.97021	156	11	Stamford	Connecticut
29	ROBERTSONSTEPHENS	2.18217	8.1	1.68448	90	6	San Francisco	California
30	DILLONREAD	1.79723	8.1	2.33430	46	1	New York	New York
31	COWEN	1.75833	7.1	0.97929	182	10	New York	New York
32	DEUTSCHEBANK	1.64502	9.1	0.49465	104	10	New York	New York

33	USBANCORPPIPERJ	1.61849	7.1	0.64089	341	17	Minneapolis	Minnesota
34	OPPENHEIMER	1.61691	8.1	0.90746	112	4	New York	New York
35	CIBC	1.61577	8.1	0.77695	402	21	New York	New York
36	DAINRAUSCHERWESS	1.59242	7.1	0.28522	339	6	Minneapolis	Minnesota
37	SGCOWEN	1.43745	6.1	0.36768	308	8	New York	New York
38	WACHOVIACORP	1.32059	7.1		18	0		North Carolina
39	SBC	1.29743	8.1		5	0	New York	New York
40	FURMANSELZ	1.23836	7.1	0.35094	88	1	New York	New York
41	PRUDENTIALSEC	1.18102	6.1	0.74018	360	24	New York	New York
42	PAINWEBBER	1.12712	8.1	0.28024	268	7	New York	New York
43	THOMASWEISEL	1.07618	8.1	0.22959	216	7	San Francisco	California
44	WARBURGDILLONREAD	1.00183	8.1	0.09791	57	1	Stamford	Connecticut
45	UBS	0.91679	8.1	0.59850	86	8	New York	New York
46	AGEDWARDS	0.89105	7.1	0.18611	389	12	St. Louis	Missouri
47	PIPERJAFFRAY	0.82102	7.1	0.34745	111	3	Minneapolis	Minnesota
48	ABNAMRO	0.78590	8.1		129	0	Chicago	Illinois
49	SCHRODER	0.78402	8.1	0.21196	106	5	New York	New York
50	INGBARINGSFURMAN	0.77591	7.1	0.19753	253	6	New York	New York
51	FIRSTUNION	0.76017	5.1	0.12988	276	4	Charlotte	North Carolina
52	DAINRAUSCHER	0.72552	7.1	0.46761	18	1	Minneapolis	Minnesota
53	WHEATFIRST	0.70286	7.1	0.22317	92	1	Richmond	Virginia
54	RBCCAPITALMKTS	0.69627	7.1	0.12746	49	1		Non U.S.
55	VOLPEBROWN	0.69021	7.1	0.30063	128	7	San Francisco	California
56	WESSELS	0.67275	7.1		61	0	Minneapolis	Minnesota
57	FRIEDMAN	0.66835	5.1	0.92392	132	12	Washington	Virginia
58	WITSOUNDVIEW	0.63313	7.1		85	0	Stamford	Connecticut
59	MCDONALD	0.62013	6.1	0.36718	167	4	Cleveland	Ohio
60	DAINBOSWORTH	0.58466	7.1	0.16234	84	2	Minneapolis	Minnesota
61	RAYMONDJAMES	0.58206	7.1	0.24509	456	11	St Petersburg	Florida
62	BNPPARIBAS	0.55287	7.1		6	0		Non U.S.
63	JCBRADFORD	0.53446	7.1	0.20822	236	9	Nashville	Tennessee
64	EVEREN	0.48128	5.1	0.16341	184	6	Chicago	Illinois
65	First Chicago Capita	0.46799	5.1	0.39780	7	2	Chicago	Illinois
66	WILLIAMBLAIR	0.46579	7.1	0.18750	277	8	Chicago	Illinois
67	LEGGMASON	0.46356	7.1	0.08233	372	4	Baltimore	Maryland
68	BLAYLOCK	0.45931	5.1		50	0	New York	New York
69	RAUSCHERPIERCE	0.45561	7.1	0.12453	74	1	Dallas	Texas
70	EQUITABLE	0.39903	6.1	0.27264	48	2	Nashville	Tennessee
71	JEFFERIES	0.39562	5.1	0.27024	178	7	Los Angeles	California
72	ROBINSONHUMPHREY	0.39293	6.1	0.18993	298	6	Atlanta	Georgia
73	ALLEN&CO	0.38663	4.1	0.05782	96	3	New York	New York
74	TUCKERANTHONY	0.36889	6.1	0.02933	297	2	Boston	Massachusetts

75	INGBARINGS	0.36622	7.1	0.25127	6	1	New York	New York
76	LAZARD	0.32494	9.1		119	0	New York	New York
77	WITCAPITAL	0.31489	7.1		126	0	New York	New York
78	EDWARDJONES	0.30767	6.1		213	0	St Louis	Missouri
79	PRINCIPALFINANCIAL	0.30487	4.1	0.07116	54	1	Dallas	Texas
80	NEEDHAM	0.30047	5.1	0.11431	315	8	New York	New York
81	HSBC	0.29291	8.1		29	0	New York	New York
82	ROBERTBAIRD	0.29105	7.1	0.11020	233	6	Milwaukee	Wisconsin
83	RONEYCAPMKT	0.28560	6.1	0.21785	11	3	Detroit	Michigan
84	RONEY	0.28528	5.1	0.24514	31	6	Detroit	Michigan
85	WASSERSTEIN	0.27200	8.1		106	0	New York	New York
86	INTERSTATEJOHNSON	0.27101	5.1	0.02066	98	1	Charlotte	North Carolina
87	MORGANKEEGAN	0.26455	7.1	0.22046	205	12	Memphis	Tennessee
88	JANNEYMONTGOMERY	0.26162	7.1	0.02573	247	2	Philadelphia	Pennsylvania
89	SANFORDBERNSTEIN	0.25330	8.1		39	0	New York	New York
90	WILLIAMS	0.24351	7.1		31	0	New York	New York
91	AHHILL	0.23779	5.1	0.13789	207	6	Boston	Massachusetts
92	STEPHENS	0.23399	7.1	0.08314	189	3	Little Rock	Arkansas
93	SCOTTSTRINGFELLOW	0.22508	6.1	0.07261	68	2	Richmond	Virginia
94	SUTRO	0.20937	6.1	0.03303	175	2	San Francisco	California
95	FOXPITT	0.20622	5.1		30	0	New York	New York
96	INVEMED	0.20102	6.1	0.04105	129	2	New York	New York
97	CEUNTERBERG	0.19031	6.1	0.10812	201	7	New York	New York
98	ADVEST	0.18889	6.1	0.04521	192	4	Hartford	Connecticut
99	SANDERSMUNDY	0.18702	5.1		130	0	Houston	Texas
100	UTENDAHL	0.18583	6.1	0.00457	43	1	New York	New York
101	SOUNDVIEWTECH	0.18396	7.1	0.02833	95	1	Stamford	Connecticut
102	FIRSTMICHIGAN	0.18034	5.1		29	0	Detroit	Michigan
103	CREDITLYONNAIS	0.17848	7.1		81	0	New York	New York
104	SOCIETEGENERALE	0.17476	7.1		18	0	New York	New York
105	FIRSTVANKASPER	0.17313	6.1	0.03045	71	1	San Francisco	California
106	WACHOVIA	0.17065	7.1	0.00691	70	1	Charlotte	North Carolina
107	FAHNESTOCK	0.16846	5.1	0.04186	218	3	New York	New York
108	KEYCORP	0.16652	6.1		147	0	Cleveland	Ohio
109	Prudential Vector He	0.16334	8.1	0.04286	10	1	New York	New York
110	FIRSTALBANY	0.16026	5.1	0.01006	187	1	Albany	New York
111	HAMPSHIRE	0.15883	3.1	0.07687	28	1	New York	New York
112	TDSECURITIES	0.15533	7.1		21	0	New York	New York
113	MRBEAL	0.15400	5.1		16	0	New York	New York
114	DRESDNER	0.15320	7.1		24	0	New York	New York
115	GERARDKLAUER	0.15119	5.1	0.08106	214	2	New York	New York
116	EOFFERING	0.14620	7.1		104	0		

117	CHASE	0.14562	8.1		27	0	New York	New York
118	VANKASPER	0.14538	5.1	0.09594	77	3	San Francisco	California
119	SOUNDVIEW	0.14373	7.1	0.05429	77	2	Stamford	Connecticut
120	WELLSFARGO	0.13512	6.1		38	0	San Francisco	California
121	SAMUELRAMIREZ	0.13421			22	0	New York	New York
122	CRUTTENDEN	0.13322	3.1	0.17629	118	19	Irvine	California
123	FERRIS	0.12812	5.1	0.11020	58	3	Washington	D. of Columbia
124	Keefe Bruyette & Woo	0.12649	7.1	0.09361	25	7	New York	New York
125	NEUBERGER	0.12610	6.1		46	0	New York	New York
126	BBTCAPITALMKTS	0.12607	7.1	0.02431	50	1	Charlotte	North Carolina
127	RAGENMACKENZIE	0.12303	5.1		101	0	Seattle	Washington
128	WRHAMBRECHT	0.11811	7.1	0.13425	33	7	San Francisco	California
129	SUNTRUSTEQUITABLE	0.11738	6.1		111	0	Atlanta	Georgia
130	GRUNTAL	0.11733	5.1	0.03242	137	2	New York	New York
131	CHARLESSCHWA	0.11692	8.1		102	0	San Francisco	California
132	OHIO	0.11318	5.1		46	0	Columbus	Ohio
133	Equity Securities Tr	0.11085	2.1	0.10905	2	1	Minneapolis	Minnesota
134	SANDLERONEILL	0.11025	8.1	0.11373	20	2	New York	New York
135	BMONESBITT	0.10322	6.1		40	0	Toronto	Non U.S.
136	PENNMERCHANT	0.10140	5.1	0.00954	209	1	Philadelphia	Pennsylvania
137	CHATSWORTH	0.10018			97	0	Greenwich	Connecticut
138	BTSECURITIES	0.09974	7.1		5	0	New York	New York
139	SANDBROS	0.09959	4.1	0.01301	179	1	New York	New York
140	SANDERSHARRIS	0.09843	5.1		62	0	Houston	Texas
141	SIMMONS	0.09425	5.1		21	0	Houston	Texas
142	Ramirez & Co., Inc.	0.09259			3	0	New York	New York
143	HANIFEN	0.09164	5.1		98	0	Denver	Colorado
144	PACIFICGROWTH	0.08987	1.1		64	0	San Francisco	California
145	STIFELNICOLAUS	0.08892	6.1		89	0	St. Louis	Missouri
146	LADENBURG	0.08385	6.1	0.02706	131	3	New York	New York
147	BANKNEWYORK	0.07988			15	0	New York	New York
148	RBCDOMINION	0.07846	7.1		18	0	Toronto	Non U.S.
149	Guzman & Co	0.07817			24	0	Miami	Florida
150	GEORGEBAUM	0.07773	5.1		95	0	Kansas City	Missouri
151	SCOTIA	0.07597	7.1		28	0	Toronto	Non U.S.
152	JOSEPHTHAL	0.07548	2.1	0.04686	76	4	New York	New York
153	MURIELSIEBERT	0.07292			23	0	New York	New York
154	VECTORSEC	0.07192	5.1	0.03531	44	1	Deerfield	Illinois
155	PARKERHUNTER	0.06581	5.1	0.01156	125	1	Pittsburgh	Pennsylvania
156	Ryan Beck & Co	0.06576	5.1	0.08881	36	4	Livingston	New Jersey
157	COMMERZBANK	0.06531	7.1		17	0	New York	New York
158	CLKING	0.06426	5.1		115	0	Albany	New York

159	HCWAINWRIGHT	0.06181	5.1	0.02041	95	3	Boston	Massachusetts
160	CAZENOVE	0.06090	8.1		18	0	New York	New York
161	PACIFICCREST	0.06043	1.1		88	0	Portland	Oregon
162	JJBHILLIARD	0.05941	5.1	0.00405	59	1	Louisville	Kentucky
163	Prudential Volpe Tec	0.05708	8.1		9	0	New York	New York
164	SOUTHWEST	0.05653	5.1	0.01664	58	1	Dallas	Texas
165	CROWELLWEEDON	0.05557	6.1		103	0	Los Angeles	California
166	UNTERBERG	0.05232	5.1		50	0	New York	New York
167	Epoch Capital Corp	0.05167	5.1		11	0		
168	HOWARDWEIL	0.05132	5.1		15	0	New Orleans	
169	JOHNKINNARD	0.04997	5.1	0.02602	62	2	Minneapolis	Minnesota
170	GABELLI	0.04947	7.1		57	0	Rye	New York
171	DADAVIDSON	0.04844	5.1	0.03498	51	2	Great Falls	Montana
172	JOHNSONRICE	0.04772	4.1		30	0	New Orleans	Louisiana
173	DOLEY	0.04759			22	0	New Orleans	Louisiana
174	KAUFMAN	0.04603	4.1	0.02070	79	1	New York	New York
175	WEDBUSH	0.04530	5.1	0.00781	77	1	Los Angeles	California
176	ORMES	0.04524	5.1		39	0	New York	New York
177	Royce Investment Gro	0.04315	4.1	0.04747	8	2	Woodbury	New York
178	LOOPCAPITAL	0.04175			17	0	Chicago	Illinois
179	BNP	0.04170	8.1		8	0		Non U.S.
180	SUNTRUSTROBINSON	0.04155	6.1		14	0		Georgia
181	BRADPEERY	0.03991			59	0	Mill Valley	California
182	PETRIEPARKMAN	0.03948	5.1		37	0	Denver	Colorado
183	ETRADE	0.03855	7.1		43	0	Palo Alto	California
184	HOAKBREEDLOVE	0.03744	5.1	0.01729	64	1	Dallas	Texas
185	BREANMURRAY	0.03743	4.1	0.00752	102	1	New York	New York
186	PAULSON	0.03728	3.1	0.05192	12	4	Portland	Oregon
187	HOEFER	0.03655	4.1	0.00856	48	2	San Francisco	California
188	Jackson Securities I	0.03526			7	0	Atlanta	Georgia
189	TUCKERANTHONY SUTRO	0.03522	6.1		5	0		Massachusetts
190	CHAPMAN	0.03506	5.1	0.00948	40	1	Baltimore	Maryland
191	STURDIVANT	0.03385			13	0	Clementon	New Jersey
192	Fidelity Capital Mar	0.03357	7.1		39	0		
193	PRIMECHARTER	0.03343	4.1	0.03991	13	3	New York	New York
194	Melvin Securities Co	0.03243			6	0	Chicago	Illinois
195	PUNKZIEGEL	0.03232	6.1		41	0	New York	New York
196	CONNING	0.03099	6.1		13	0	Hartford	Connecticut
197	Gaines, Berland	0.03060	2.1	0.02371	34	2	Bethpage	New York
198	BBV	0.02913			4	0	Lisbon	Non U.S.
199	ARNHOLDBLEICH	0.02901	7.1		43	0	New York	New York
200	BANCOSANTANDER	0.02813	7.1		8	0		Non U.S.

201	Sanders & Beckingham	0.02778			21	0	Edmonton	Alabama
202	Bluestone Capital Pa	0.02695	2.1	0.05840	9	4	New York	New York
203	Whale Securities Inc	0.02694	2.1	0.02625	6	5	New York	New York
204	DOMINICK	0.02587			49	0	New York	New York
205	Wedbush, Noble, Cook	0.02587	4.1		43	0		
206	JOHNSTON	0.02505	4.9		62	0	Washington	D. of Columbia
207	BUCKINGHAM	0.02482			9	0	New York	New York
208	Edgar M. Norris & Co	0.02441	5.1	0.00488	24	1	Greenville	South Carolina
209	Joseph Stevens & Com	0.02377	3.1	0.03168	7	4	New York	New York
210	CLEARYGULL	0.02363	6.1		39	0	Milwaukee	Wisconsin
211	FIFTHTHIRD	0.02356	5.1	0.00715	14	1	Columbus	Ohio
212	BARINGTONCAP	0.02330	2.1	0.01220	11	2	New York	New York
213	Barron Chase Securit	0.02303	2.1	0.02625	5	5	Boca Raton	Florida
214	FIRSTANALYSIS	0.02234	6.1		41	0	Chicago	Illinois
215	DAVENPORT	0.02220	6.1		14	0	Richmond	Virginia
216	GILFORD	0.02211	1.1	0.02162	16	4	New York	New York
217	MEESPIERSON	0.02210			4	0	New York	New York
218	BLACK	0.02209	4.1	0.02010	22	2	Portland	Oregon
219	BURNHAM	0.02179	5.1		37	0	New York	New York
220	RJSTEICHEN	0.02172	2.1	0.02272	9	4	Minneapolis	Minnesota
221	Sandier Capital Mark	0.02172			9	0	New York	New York
222	KASHNER	0.02166	3.1	0.00942	19	3	Sarasota	Florida
223	NATWEST	0.02093	8.1	0.02532	14	1	New York	New York
224	SEIDLER	0.02080	4.1		54	0	Los Angeles	California
225	JANCO	0.02054			18	0	Englewood	Colorado
226	HOWEBARNES	0.01995	3.1	0.02532	17	3	Chicago	Illinois
227	FIRSTSOUTHWEST	0.01991	6.1		47	0	Dallas	Texas
228	BLACKFORD	0.01954			20	0	Garden City	New York
229	PRYORMCCLENDON	0.01918			9	0	Philadelphia	Pennsylvania
230	DOFT	0.01880			31	0	New York	New York
231	MELLONFINANCIAL	0.01861			3	0	Pittsburgh	Pennsylvania
232	TORONTO	0.01851			6	0	Toronto	Non U.S.
233	LAIDLAW	0.01847	4.1	0.00468	23	1	New York	New York
234	MAYDAVIS	0.01845	5.1	0.00231	9	1	Baltimore	Maryland
235	SCOTIAMCLEOD	0.01832	7.1		3	0	Toronto	Non U.S.
236	KLEINWORT	0.01822	8.1		10	0	London	Non U.S.
237	PUTNAMLOVELL	0.01746			10	0	San Francisco	California
238	NATIONAL	0.01731	3.1	0.00890	14	2		Washington
239	STERNAGEE	0.01683	5.1	0.00636	22	1	Birmingham	Alaska
240	ROTHCAPITAL	0.01676	4.1	0.02631	10	1	New port Beach	California
241	Millennium Financial	0.01670	3.1	0.00983	4	1	Andover	Non U.S.
242	SOUTHCOAST	0.01666	4.1	0.01018	24	1	Austin	Texas

243	CDC	0.01656			3	0		
244	MESIROW	0.01654	6.1		40	0	Chicago	Illinois
245	MEDIOBANCA-Banca di Credito Finar	0.01527			1	0		
246	WestLB Panmure Ltd	0.01527			1	0		
247	REDWINE	0.01519	4.1		36	0	Charlotte	North Carolina
248	Commonwealth Associa	0.01498	2.1	0.01665	9	3	New York	New York
249	BZ Bank Limited	0.01491			1	0		
250	CREDITAGRICOLE	0.01490	7.1		4	0	New York	New York
251	PRYORGOVAN	0.01480			11	0		
252	Williams PLC	0.01476			1	0		
253	HJMEYERS	0.01461	1.1	0.01711	16	4	Rochester	New York
254	Putnam, Coffin, Dool	0.01434			4	0	Hartford	Connecticut
255	Citicorp Securities	0.01417	8.1		1	0	New York	New York
256	INTESABCI	0.01406			2	0		
257	LHFRIEND	0.01404	4.1	0.01330	17	1	Irvine	California
258	Blair House	0.01393			13	0		
259	Boenning & Scattergo	0.01388	4.1		4	0	West Conshoho	Pennsylvania
260	Canadian Imperial Bk	0.01371	6.1		5	0	Toronto	Non U.S.
261	KIRKPATRICK	0.01365	5.1		20	0	Omaha	Nebraska
262	Nutmeg Securities Lt	0.01359	4.1	0.00937	29	1	Westport	Connecticut
263	PARIBAS	0.01330	7.1		6	0	New York	New York
264	HARRISWEBB	0.01322			21	0	Houston	Texas
265	NOMURA	0.01314	9.1		11	0	New York	New York
266	John Edward	0.01313			8	0		
267	Lebenthal	0.01302			15	0	New York	New York
268	GunnAllen Financial	0.01275	3.1	0.01041	5	2	Tampa	Florida
269	GENESIS	0.01270	2.1		18	0	San Francisco	California
270	Joseph Charles & Ass	0.01214	2.1	0.01677	14	2	Boca Raton	Florida
271	FECHTOR	0.01213	4.1	0.02558	9	1	Boston	Massachusetts
272	GKNSECURITIES	0.01186	1.1	0.01440	8	2	New York	New York
273	RODMANRENSHAW	0.01185	2.1		18	0	Chicago	Illinois
274	BARCLAYS	0.01173	7.1		3	0		
275	Wm. C. Roney	0.01169	4.1		22	0	Detroit	Michigan
276	DAIWA	0.01160	8.1		7	0	New York	New York
277	DOWLING	0.01124			6	0	Hartford	Connecticut
278	Barrington Associate	0.01102			13	0	Los Angeles	California
279	MOORSCABOT	0.01086	4.1		25	0	Boston	Massachusetts
280	Ashtin Kelly & Co.,	0.01070	2.1	0.01070	2	2	Naples	Florida
281	Dain, Kalman & Quail	0.01050	7.1		8	0	Minneapolis	Minnesota
282	Mizuho International plc	0.01034			2	0		
283	First Montauk Securi	0.01014	2.1	0.00833	6	2	Red Bank	New Jersey
284	Westdeutsche Landesb	0.01001	5.1		5	0		

285	Joseph Gunnar & Co.,	0.01000	4.1	0.00971	2	1	New York	New York
286	EBI	0.00991	3.1	0.01445	10	1	Englewood	Colorado
287	HCFP Brenner Securit	0.00988	5.1		6	0	New York	New York
288	GS2SECURITIES	0.00978			24	0	Milwaukee	Wisconsin
289	Banca DIntermediazi	0.00977			2	0		
290	BCZIEGLER	0.00969			12	0	West Bend	Wisconsin
291	Cantor Fitzgerald In	0.00960	6.1		8	0	New York	New York
292	Jensen Securities	0.00945	4.1		3	0	Portland	Oregon
293	Schneider Securities	0.00929	3.1	0.00833	11	2	Denver	Colorado
294	BAYERISCHEVEREIN	0.00888			6	0		
295	NOBLE	0.00878	3.1	0.00948	4	2	Boca Raton	Florida
296	CAPITALWEST	0.00875	3.1	0.01700	8	3	Oklahoma City	Oklahoma
297	ALLENCEWING	0.00863	5.1		13	0	Jacksonville	Florida
298	SBKBROOKS	0.00858			3	0	Cleveland	Ohio
299	Dirks & Company Inc	0.00838	4.1	0.01272	3	1	New York	New York
300	WESTPORT	0.00834	3.1	0.00925	16	2	Westport	Connecticut
301	Paragon Capital	0.00822	2.1	0.00821	2	2	New York	New York
302	FIRSTCOLONIAL	0.00799	3.1	0.00671	13	1	Marlton	New Jersey
303	MALACHI	0.00799			2	0		
304	Network 1 Financial	0.00796	3.1	0.01619	5	4	Red Bank	New Jersey
305	BOE Securities, Inc.	0.00793			1	0		
306	Banca Akros S.p.A.	0.00793			1	0		
307	Danske Securities	0.00793			1	0		
308	Gardner Rich & Company, Inc	0.00793			1	0		
309	Redwood Securities Group Inc	0.00793			1	0		
310	New York Broker	0.00786	3.1	0.00786	1	1	Fairfax	Virginia
311	ISG Capital Markets	0.00768	3.1		6	0	New York	New York
312	NATCITY	0.00755	4.1		16	0		
313	NEIDIGER	0.00752	3.1	0.00451	13	1	Denver	Colorado
314	Cohig & Associates	0.00748	5.1	0.00607	9	2	Englewood	Colorado
315	CHATFIELDDEAN	0.00744	3.1	0.00532	4	1	Denver	Colorado
316	First London Securit	0.00740	3.1	0.01058	6	3	Dallas	Texas
317	MONNESS	0.00726	3.1		5	0	New York	New York
318	Strasbourg Pearson	0.00723	5.1	0.00723	2	2	New York	New York
319	LEERINKSWANN	0.00703	4.1		21	0	Boston	Massachusetts
320	JWCHARLES	0.00696	2.1		16	0	Boca Raton	Florida
321	H. D. Brous	0.00695	3.1	0.00578	5	1	Great Neck	New York
322	Southeast Research P	0.00690	4.1		18	0	New York	New York
323	SMITHMOORE	0.00689	4.1		21	0	St Louis	Missouri
324	PNC	0.00687			2	0		
325	Waldron & Co	0.00671	4.1	0.01012	5	2	Irvine	California
326	Vectormex Inc	0.00668	5.1		1	0	New York	New York

327	Kirlin Securities In	0.00666	3.1	0.00578	3	1	Syosset	New York
328	SURETRADE	0.00657			9	0		
329	MITCHELL	0.00652			6	0	Portland	Oregon
330	FORTIS	0.00648			2	0		
331	Janssen-Meyers Assoc	0.00641	3.1	0.00636	2	1	New York	New York
332	JW Genesis Capital M	0.00627			12	0	Boca Raton	Florida
333	William R. Hough	0.00615	7.1	0.00191	4	1	St Petersburg	Florida
334	Barclays Bank PLC	0.00613			1	0		
335	UniCredit Banca Mobiliare Societa pe	0.00613			1	0		
336	CHOCHRANCARONIA	0.00607			2	0		
337	American Fronteer Fi	0.00598	3.1		5	0	Denver	Colorado
338	Levesque Beaubien Ge	0.00583			1	0	Montreal	Non U.S.
339	Tokyo Mitsubishi Int	0.00578			1	0		
340	BANKAMBELLEVUE	0.00565			2	0		
341	J.W. Barclay	0.00564	3.1		5	0	New York	New York
342	STARR	0.00558	3.1	0.00601	13	1	New York	New York
343	Wilson A. Scott	0.00554			9	0		
344	Maxwell Capital	0.00553	3.1	0.00740	1	1		Nevada
345	INVESTECERNST	0.00544			12	0		New York
346	Coleman & Company	0.00540	3.1	0.01070	3	2	New York	New York
347	McAdams Wright Ragen	0.00540			7	0	Seattle	Washington
348	Auerbach Pollak & Ri	0.00521	5.1	0.00364	7	1	Stamford	Connecticut
349	Paradise Valley Secu	0.00520	1.1		1	0	Phoenix	Arizona
350	Friedman Manger	0.00518			11	0		
351	Argent Securities, I	0.00518	3.1	0.00711	4	2	Atlanta	Georgia
352	Value Investing Part	0.00507	4.1		9	0	Westport	Connecticut
353	OSCARGRUSS	0.00499	3.1		12	0	New York	New York
354	Parker Jackson	0.00495			6	0		
355	LOEWENBAUM	0.00495			14	0		
356	WILLIAMWOODRUFFE	0.00489	4.1		1	0	Dallas	Texas
357	BRANCHCABELL	0.00486			4	0	Richmond	Virginia
358	European Community C	0.00483	2.1	0.00347	3	1		New York
359	Huberman, Margarette	0.00477	3.1	0.00463	2	1	Dallas	Texas
360	Miller, Johnson & Ku	0.00474	3.1		4	0	Minneapolis	Minnesota
361	W. M. Smith Securiti	0.00473			9	0	Denver	Colorado
362	Werbel-Roth Securiti	0.00472	4.1	0.01318	3	2	Boca Raton	Florida
363	Nessuah Zannex, Ltd.	0.00465			8	0		Non U.S.
364	Rabobank Nederland	0.00464			2	0		
365	HORNBLOWER	0.00464	7.1	0.00451	4	1	New York	New York
366	Keane Securities	0.00463	3.1	0.01145	4	1	New York	New York
367	Laidlaw-Coggeshall	0.00454	4.1		12	0	New York	New York
368	Great Pacific Capita	0.00449			7	0	Sydney	Non U.S.

369	Dougherty Summit Sec	0.00439	3.1		2	0	Minneapolis	Minnesota
370	Marion Bass Securiti	0.00439	3.1		6	0	Charlotte	North Carolina
371	CJS Securities Inc	0.00433			5	0	White Plains	New York
372	BAYERISCHELANDES	0.00427			2	0		
373	Volpe & Covington	0.00425	7.1		2	0		
374	First Equity Corp	0.00424	2.1		6	0	Miami	Florida
375	Samsung Securities C	0.00424	6.1		2	0		
376	Jesup & Lamont Secur	0.00422			11	0	New York	New York
377	Mason Hill & Co Inc	0.00421	2.1	0.00740	2	2	New York	New York
378	CORINTHIAN	0.00418			10	0	New York	New York
379	Bank J Vontobel & Co	0.00406	6.1		1	0		
380	CMG Institutional Trading, LLC	0.00401			1	0		
381	Charles Jordan & Co LLC	0.00401			1	0		
382	Harvestons Securities Inc	0.00401			1	0		
383	Pinnacle Investment Inc	0.00401			1	0		
384	David A. Noyes	0.00398	5.1		12	0	Chicago	Illinois
385	City National Bank of NJ	0.00398			1	0		
386	Huntleigh Securities	0.00390			5	0	St Louis	Missouri
387	FREDRICK	0.00382	4.1		19	0	Milwaukee	Wisconsin
388	Tuschner & Company	0.00382	3.1	0.00382	1	1	Minneapolis	Minnesota
389	Ameritrade Inc	0.00381			1	0		
390	Apex Securities Inc.	0.00381			1	0	Houston	Texas
391	GraiCap	0.00381			1	0	Detroit	Michigan
392	Lam Securities Inves	0.00381			1	0		
393	SMW Securities Co	0.00381			1	0		
394	Seasongood & Mayer	0.00381			1	0		
395	Sutter Securities	0.00381			1	0		
396	Walton Johnson & Co.	0.00381			1	0		
397	SHIELDS	0.00372			8	0	New York	New York
398	Adams	0.00370			7	0		
399	Roan Capital Partner	0.00370	3.1	0.00474	2	2	New York	New York
400	Fidelity Bank	0.00357			4	0		
401	Aegis Capital	0.00356	4.1		9	0	Valley Stream	New York
402	LIBRA	0.00350			2	0	Los Angeles	California
403	NC Investments	0.00350			1	0		
404	DHBLAIR	0.00341	4.1	0.00463	1	1	New York	New York
405	WEATHERLY	0.00323	3.1		4	0	New York	New York
406	M. H. Meyerson	0.00323	1.1		7	0	Jersey City	New Jersey
407	King & Shaxson Holdi	0.00321			10	0	London	Non U.S.
408	Dalton Kent Securiti	0.00318	3.1	0.00376	1	1	New York	New York
409	Tejas Securities Inc	0.00317	4.1	0.00746	5	2	Austin	Texas
410	Midwest Research Max	0.00316			4	0		

411	QUICKREILLY	0.00314			4	0		New York
412	PMGCAPITAL	0.00313			4	0	New York	New York
413	Buckingham Securitie	0.00310			4	0	Toronto	Non U.S.
414	NIKKO	0.00309	7.1		5	0	New York	New York
415	TRILON	0.00308			3	0	Toronto	Non U.S.
416	Somerset Financial Group Inc	0.00305	3.1	0.00347	1	1		Connecticut
417	Mills Financial Serv	0.00301	3.1	0.00301	1	1	Chicago	Illinois
418	Sterling Financial C	0.00300	3.1		4	0	Boca Raton	Florida
419	Preferred Capital Ma	0.00299			10	0	San Francisco	California
420	McGinn, Smith & Co I	0.00298	4.1		1	0	Albany	New York
421	Peninsula Merchant G	0.00295			3	0		
422	Griffiths McBurney &	0.00291			1	0	Toronto	Non U.S.
423	Continental Broker-D	0.00289	2.1		1	0	Carle Place	New York
424	Hagerty, Stewart & A	0.00289			6	0	New port Beach	California
425	Union Bancaire Prive	0.00285			2	0	Geneva	Non U.S.
426	PEACOCKHISLOP	0.00282	4.1		5	0	Phoenix	Arizona
427	S. C. Parker	0.00281			7	0	Williamsville	New York
428	Seaboard Securities	0.00280	3.1		2	0	Florham Park	New Jersey
429	Lombard Odier Intern	0.00278			1	0		Non U.S.
430	BARRINGTONRES	0.00274			7	0	Chicago	Illinois
431	W.B. McKee Securitie	0.00270	2.1	0.00578	2	1	Scottsdale	Arizona
432	Monroe Parker Securi	0.00268	2.1	0.00266	1	1	Purchase	New York
433	A.S. Goldmen & Compa	0.00260	1.1	0.00260	1	1	Iselin	New Jersey
434	RDWHITE	0.00260	3.1	0.00260	1	1	New York	New York
435	Shochet Securities	0.00260	3.1		1	0	Hallandale	Florida
436	NORTHEAST	0.00259	4.1		9	0	Mitchel Field	New York
437	RAF Financial	0.00254	3.1		3	0	Denver	Colorado
438	WESTPARK	0.00252	4.1		2	0		
439	Comvest Partners	0.00249	3.1		2	0	Dallas	Texas
440	Dakin Securities Cor	0.00245	2.1		8	0	San Francisco	California
441	China Everbright Sec	0.00243			1	0		
442	onlinetradinginc.com	0.00243	3.1		1	0	Boca Raton	Florida
443	Essex	0.00229			1	0		
444	Berthel Fisher & Co	0.00226	3.1	0.00416	2	1	Cedar Rapids	Iowa
445	W.J. Nolan & Company	0.00219	3.1		5	0	New York	New York
446	YAMAICHI	0.00217	8.1		2	0	New York	New York
447	WRLAZARD	0.00216			2	0	New York	New York
448	CAIB Investmentbank	0.00214	5.1		1	0		
449	Raiffeisen Zentralba	0.00214			1	0		
450	Strand,Atkinson,Will	0.00213			1	0	Portland	Oregon
451	Emerging Growth Equi	0.00210			5	0	King of Prussia	Pennsylvania
452	Donald & Co. Securit	0.00208	3.1	0.00677	4	1	Tinton falls	New Jersey

453	KBC Securities	0.00208	5.1		1	0	Cincinnati	Ohio
454	Cantor, Weiss & Frie	0.00206			6	0	New York	New York
455	Credito Italiano S.p	0.00204			1	0		
456	Millenium Securities	0.00202	3.1		2	0	New York	New York
457	FIRSTSECURITYINV	0.00195			5	0	Kingston	Pennsylvania
458	Butler, Wick & Co.	0.00194			2	0	Youngstown	Ohio
459	GOLDIS	0.00190	4.1		6	0	Garden City	New York
460	Winchester Securitie	0.00189			2	0	Overland Park	Kansas
461	SPP Capital Partners	0.00188	4.1		1	0	New York	New York
462	Trautman Kramer & Co	0.00187	3.1		9	0	New York	New York
463	LaSalle Street Secur	0.00185	4.1		5	0	Chicago	Illinois
464	H. G. Wellington & C	0.00183			5	0	New York	New York
465	KSH Investment Group	0.00180	3.1		4	0	Great Neck	New York
466	Banco Continental SA	0.00179			1	0		
467	Datek Securities	0.00176			1	0		
468	Caisse Des Depots Et	0.00176			1	0	New York	New York
469	Kelton International	0.00176			1	0	London	Non U.S.
470	Argentaria Bolsa, S.	0.00175			2	0		
471	Tasin & Company	0.00174	3.1		1	0	Hauppauge	New York
472	Loeb Partners	0.00173	5.1		2	0	New York	New York
473	Stanford Group Compa	0.00172			4	0	Houston	Texas
474	HGI Incorporated	0.00171	2.1		1	0	Syosset	New York
475	Morgan Fuller Capita	0.00169			4	0	San Francisco	California
476	Epoch Partners	0.00166			1	0		
477	ROBERTFLEMING	0.00164	7.1		3	0	New York	New York
478	The New China Hong K	0.00162			1	0		
479	Pauli Johnson Capita	0.00162	4.1		6	0	St Louis	Missouri
480	fbr.com	0.00160	5.1		1	0	Washington	Virginia
481	Security Investment	0.00156			2	0	Kansas City	Missouri
482	Wunderlich Securitie	0.00156			4	0	Memphis	Tennessee
483	First Marathon Secur	0.00146	3.1		1	0	Toronto	Non U.S.
484	Newcrest Capital Inc	0.00146			1	0	Toronto	Non U.S.
485	GRW Capital	0.00146			1	0	Washington	D. of Columbia
486	Commonwealth Securit	0.00145	3.1		4	0		
487	Meridian Capital Mar	0.00145	3.1	0.00434	1	1	Los Angeles	California
488	Security Capital Tra	0.00145	3.1		1	0	New York	New York
489	AGEAN	0.00143	3.1		2	0	Boca Raton	Florida
490	HVB Capital	0.00142			1	0		
491	Corporate Securities	0.00139	2.1		1	0	Boca Raton	Florida
492	Forum Capital Market	0.00139	5.1		1	0	Old Greenwich	Connecticut
493	SHEPARD	0.00138			3	0	Vernon Hills	Illinois
494	AXIOMPARTNERS	0.00136			4	0	Hartford	Connecticut

495	Kenny Securities Cor	0.00136			3	0	St Louis	Missouri
496	Paulsen Securities	0.00136			1	0	Boston	Massachusetts
497	Hopper Soliday	0.00135			2	0	Lancaster	Pennsylvania
498	Dabney & Resnick, In	0.00130			1	0	Beverly Hills	California
499	George McKelvey Co.,	0.00130			1	0	Sea Girt	New Jersey
500	Fuji International F	0.00130			1	0		
501	Coburn & Meredith, I	0.00128	4.1		2	0	Hartford	Connecticut
502	Freimark Blair & Co	0.00127			3	0	Millburn	New Jersey
503	Spencer Trask	0.00122	5.1		3	0	New York	New York
504	LT Lawrence & Co Inc	0.00121	2.1		7	0	New York	New York
505	Anderson & Strudwick	0.00120	4.1		4	0	Richmond	Virginia
506	Fine Equities Inc	0.00118	3.1		1	0	New York	New York
507	Comprehensive Capita	0.00116	2.1		1	0	Westbury	New York
508	LASALLENATIONAL	0.00114			2	0	Boca Raton	Florida
509	Robb Peck & McCoey	0.00113			3	0	New York	New York
510	RvR Securities Corp	0.00111	3.1		1	0	San Francisco	California
511	Klein Maus and Shire	0.00109	3.1		1	0	New York	New York
512	Torrey Pines Securit	0.00108			4	0	Solana Beach	California
513	Hill Thompson Group	0.00107			1	0	Jersey City	New Jersey
514	Johnston Associates	0.00107			4	0		
515	Emerson Bennett & As	0.00104	3.1		1	0		
516	Thomson Kernaghan	0.00104			1	0	Toronto	Non U.S.
517	Maidstone Financial,	0.00102	2.1	0.00306	1	1	New York	New York
518	Advanced Equities In	0.00101			1	0	Chicago	Illinois
519	Broadmark Capital Co	0.00101			1	0	Seattle	Washington
520	J. Alexander Securit	0.00101			2	0	Los Angeles	California
521	Credit Research & Tr	0.00098			1	0	Greenwich	Connecticut
522	Keystone National Ba	0.00095			1	0		
523	The Thornwater Compa	0.00094	3.1	0.00347	1	1	New York	New York
524	Andrew, Alexander, W	0.00091	3.1		2	0	New York	New York
525	Axiom Capital Manage	0.00090			2	0	New York	New York
526	John J. Ryan	0.00090			3	0		
527	NATCITYINVESTMENTS	0.00088	4.1		2	0	Cleveland	Ohio
528	Rabo Securities N.V	0.00087			1	0		
529	Goldsmith, Agio & Co	0.00084			1	0	Minneapolis	Minnesota
530	JESHEEHAN	0.00082			3	0	New York	New York
531	Access Ltd.	0.00081			2	0		
532	BHC Securities Inc	0.00081			2	0	Philadelphia	Pennsylvania
533	WIN	0.00081	3.1		2	0	Bayville	New York
534	Lafayette Investment	0.00080			1	0	Washington	D. of Columbia
535	RAS Securities Corpo	0.00080	3.1		1	0	New York	New York
536	ENSKILDA, SKANDINAVI	0.00079	6.1		1	0	New York	New York

537	Hudson Knight Securi	0.00078		2	0	Chicago	Illinois
538	Bayerische Hypo Vere	0.00074		1	0		
539	First Dallas Securit	0.00074		1	0	Dallas	Texas
540	BAIRDPATRICK	0.00073	4.1	4	0	New York	New York
541	Grady and Hatch	0.00072	4.1	3	0	New York	New York
542	Dominion Securities	0.00071		1	0		
543	Fearnley Fonds A/S	0.00071		1	0		
544	Hefren-Tillotson, In	0.00071		2	0	Pittsburgh	Pennsylvania
545	Access Financial Gro	0.00069		2	0	Chicago	Illinois
546	Danske Bank AS	0.00069		1	0		
547	Frost Securities	0.00069		1	0	Dallas	Texas
548	Pacific Securities C	0.00067		2	0		
549	Goldsmith & Harris	0.00065		1	0		
550	Web Street Securitie	0.00064		1	0	Deerfield	Illinois
551	Rothschild Inc.	0.00062		1	0	New York	New York
552	Krieger, Wunderlich	0.00060		1	0		
553	Herzog, Heine, Gedul	0.00058		1	0	Jersey City	New Jersey
554	Carroll & Koster NV	0.00058		1	0		
555	John Burnham & Co	0.00058		1	0		
556	Merit Capital Associ	0.00058	2.1	1	0	Westport	Connecticut
557	Sharpe Capital	0.00058	3.1	1	0	New York	New York
558	American Financial G	0.00057		1	0		
559	The Shemano Group, I	0.00057		1	0	San Francisco	California
560	Seaboard Planning	0.00057	3.1	1	0		
561	Avalon Research	0.00056		1	0	Boca Raton	Florida
562	Houlihan Lokey Howar	0.00056		1	0	Los Angeles	California
563	Drake & Co	0.00056	4.1	2	0		
564	Arthurs, Lestrangle	0.00055		1	0	PA	
565	Jolson Merchant Partners LLC	0.00055		1	0		
566	KEELEY	0.00054		2	0	Chicago	Illinois
567	Craig-Hallum Capital	0.00052	4.1	1	0	Minneapolis	Minnesota
568	Arcadia Partners LP	0.00051		1	0	Boston	Massachusetts
569	First Liberty Invest	0.00051	3.1	1	0	Philadelphia	Pennsylvania
570	Asiel & Company	0.00050		1	0	New York	New York
571	Bernard Herold & Co.	0.00050		1	0	New York	New York
572	Capital Intl Sec Gro	0.00050		1	0	Miami	Florida
573	Midlegate Securitie	0.00050		1	0	New York	New York
574	Nathan & Lewis Secur	0.00050		1	0	New York	New York
575	Redstone Securities	0.00050	3.1	3	0	Plainview	New York
576	Weeden & Co., Incorp	0.00050		1	0	Greenwich	Connecticut
577	Wiley Bros.	0.00050		1	0	Nashville	Tennessee
578	Baldwin & Associates	0.00049		1	0	Seattle	Washington

579	FBD Investment Servi	0.00049		1	0	Leawood	Kansas
580	JP Turner & Co	0.00049	3.1	1	0	Atlanta	Georgia
581	Branch & Co.	0.00049		1	0		
582	RICKEL	0.00049	2.1	2	0	Millburn	New Jersey
583	Pali Capital LLC	0.00048		1	0		
584	Arneson Kercheville	0.00047		1	0	San Antonio	Texas
585	Ryan, Hartley & Lee,	0.00046		2	0	Mclean	Virginia
586	First Metropolitan S	0.00046	3.1	1	0	New York	New York
587	Maxus Securities Inc	0.00046		1	0	Cleveland	Ohio
588	Pennsylvania Co Inc	0.00046	5.1	1	0		
589	Perry Investments, I	0.00046		1	0	Los Gatos	California
590	Smeets Thesseling &	0.00046		1	0		
591	Oscar Ltd	0.00044		1	0		
592	Pictet & Cie	0.00043		1	0		Non U.S.
593	Wellington & Associa	0.00042		2	0	New York	New York
594	Miller Tabak Hirsch	0.00041		2	0	New York	New York
595	MADISON	0.00040	3.1	3	0	Chicago	Illinois
596	First Allied Securit	0.00039	1.1	2	0	San Diego	California
597	Emerging Equities In	0.00039		2	0	Calgary	Alabama
598	Nichols, Safina, Ler	0.00039	1.1	1	0	New York	New York
599	Lintz Glover White &	0.00038		1	0	Sherman Oaks	California
600	Broadband Capital Ma	0.00037		1	0	New York	New York
601	Anglo-American Inves	0.00036		1	0	Charlottesville	Virginia
602	Empire Financial Group Inc	0.00035		1	0		
603	Access Securities	0.00034	4.1	1	0	Stamford	Connecticut
604	Brenner Securities	0.00034	3.1	1	0	New York	New York
605	Florida Atlantic Sto	0.00034		2	0	Miami	Florida
606	Centex Securities In	0.00033	3.1	2	0	La Jolla	California
607	Williams Securities	0.00032		1	0		
608	ThinkEquity Partners	0.00032		1	0		
609	Stuart, Coleman & Co	0.00031	2.1	3	0	New York	New York
610	Nordberg Capital Inc	0.00031	5.1	2	0	New York	New York
611	EGS Securities Corpo	0.00030		1	0	New York	New York
612	Farrell	0.00030	3.1	1	0	New York	New York
613	Rockefeller Securiti	0.00030	2.1	1	0	New York	New York
614	Sovereign Equity Man	0.00030	3.1	1	0	New York	New York
615	Alden	0.00029		1	0	Denver	Colorado
616	Gordon Haskett Capit	0.00029		1	0	New York	New York
617	NA Jensen & Co AS	0.00029		1	0		
618	PHD Capital	0.00028		1	0		
619	MJ Whitman Inc	0.00027		2	0	New York	New York
620	Wachtel	0.00027		1	0	Washington	D. of Columbia

621	Barclay Investments,	0.00026	7.1		1	0	Providence	Rhode Island
622	Fulcrum Global Partners	0.00026			1	0		
623	Hamilton Robinson	0.00025			1	0		
624	WestAmerica Bank	0.00025			1	0	San Rafeal	California
625	Sumitomo Finance Int	0.00024			1	0	London	Non U.S.
626	Summit Systems	0.00024			1	0	New York	New York
627	Traub	0.00024			1	0	Indianapolis	Indiana
628	Robert J. Harris	0.00023			1	0		
629	Spencer Edwards	0.00023	3.1		1	0	Englewood	Colorado
630	William Smith Securi	0.00023			1	0	Denver	Colorado
631	Montrose Capital	0.00023			1	0	New York	New York
632	Robotti & Eng, Inc.	0.00022			1	0	New York	New York
633	CULVERSEC	0.00022			1	0		
634	First Asset Manageme	0.00022	3.1		1	0	Garden City	New York
635	Peregrine Capital Lt	0.00022	6.1		1	0	New York	New York
636	William Scott & Co	0.00022	2.1	0.00364	1	1	Union	New Jersey
637	Hobbs Melville Secur	0.00021	3.1		2	0	New York	New York
638	MSFARRELL	0.00021			2	0	New York	New York
639	Advent Internatinal	0.00021			1	0	Boston	Massachusetts
640	Livada Securities	0.00020			1	0	Portland	
641	Suncoast Capital Cor	0.00020			1	0	Miami	Florida
642	Bishop, Rosen & Co	0.00020			2	0	New York	New York
643	LaSalle Capital	0.00019			1	0	Chicago	Illinois
644	First Michigan Bank	0.00017			1	0		
645	Norris & Hirshberg	0.00017			1	0		
646	Shamrock Partners, L	0.00017	3.1		1	0	Media	Pennsylvania
647	Hibernia Southcoast Capital	0.00016			1	0		
648	Chicago Capital Inc.	0.00016			1	0	Chicago	Illinois
649	Peters & Co. Limited	0.00016			1	0		
650	Culverwell	0.00015			1	0	Boston	Massachusetts
651	First Liberty Nation	0.00015			1	0		
652	Lion Capital Corp.	0.00015			1	0	Boca Raton	Florida
653	San Jacinto Securiti	0.00014			1	0	Dallas	Texas
654	Soditic Finance Comp	0.00014			1	0	London	Non U.S.
655	VEREINS-UND WESTBANK	0.00014			1	0	Munich	Non U.S.
656	Vanguard Capital Ltd	0.00014			1	0	San Diego	California
657	Albert & Sharp & Co	0.00012			1	0	London	Non U.S.
658	Boston Group	0.00012	3.1		1	0	Los Angeles	California
659	Culver Financial Man	0.00011	3.1		1	0	Knoxville	Tennessee
660	Sage, Ruty	0.00010			1	0	Rochester	New York
661	Trubee, Collins	0.00010			1	0	Buffalo	New York
662	Suppes Securities, I	0.00008	3.1		1	0		

663	Summit Investment	0.00008	2.1	1	0	Pittsburgh	Pennsylvania
664	City Securities	0.00007		1	0	Indianapolis	Indiana
665	Berry-Shino Securiti	0.00005	3.1	1	0	Phoenix	Arizona
666	TriQuest Financial I	0.00005		1	0	Glendale	California
667	Hazlett, Burt & Wats	0.00005		1	0	Wheeling	West Virginia
668	Nolan Securities Cor	0.00005	3.1	1	0	Salisbury	Connecticut
669	Fox and Company	0.00004		1	0	Phoenix	Arizona