

Math 10250 Activity 23: Second Derivative Tests (Section 4.2)

GOAL: To study how the graph of a given $f(x)$ “bends”, and how these features of the graph are described by $f'(x)$ and $f''(x)$.

► **The second derivative test for concavity**

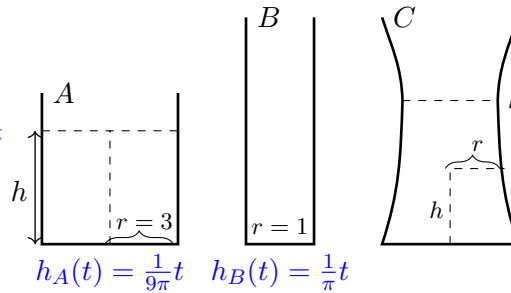
Example 1 Water is filling up each of the following cylindrical vessels at a constant rate of $1 \text{ cm}^3/\text{sec}$.

Sln. Recall that the volume of a cylinder vessels is

$$V = \text{area of base} \cdot \text{height} = \pi r^2 \cdot h(t).$$

Also the volume of water in the vessel at time t is $V = 1 \cdot t$. So we have

$$\pi r^2 \cdot h(t) = t \implies h(t) = \boxed{\frac{1}{\pi r^2} t}.$$



Let h be the height of the water level in the vessel at time t .

a. Sketch the graphs of h versus t for Vessels A and B in the axes for Figure 1. Indicate which graph belongs to A and which to B.

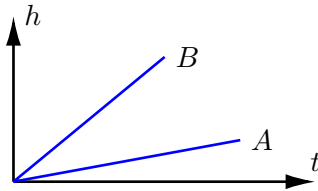


Figure 1

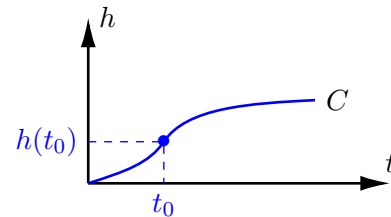


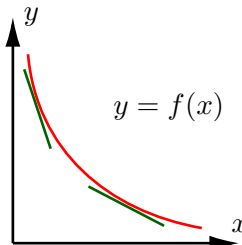
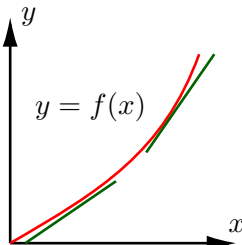
Figure 2

b. Sketch the graph of h versus time t for Vessel C in the axes for Figure 2.

c. Comment on how the “bending” (up or down) of the graph changes with $h'(t)$. Mark on the graph where the “bending” changes.

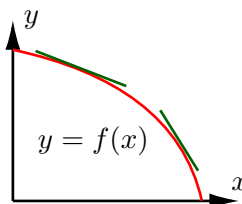
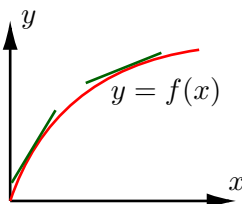
We now introduce terminologies that describe the “bending” of a graph.

Case 1: For $a < x < b$, the slope of the graph $f(x)$ is **increasing** as x increases (i.e., $f'(x)$ is increasing). So $f''(x)$ is positive for $a < x < b$ (portions of u -shape).



We say that the graph of $f(x)$ is concave up for $a < x < b$.

Case 2: For $a < x < b$, the slope of the graph $f(x)$ is **decreasing** as x increases (i.e., $f'(x)$ is decreasing). So $f''(x)$ is negative for $a < x < b$ (portions of n -shape).



We say that the graph of $f(x)$ is concave down for $a < x < b$.

The Second derivative test for concavity

Let $f(x)$ be a function that has a second derivative in an interval.

• If $f''(x) > 0$ for all x then its graph is concave up.
(like $f(x) = x^2$)



• If $f''(x) < 0$ for all x then its graph is concave down.
(like $f(x) = -x^2$)



The above gives us:

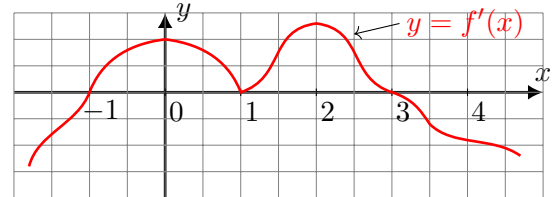
Note: The places where the graph of $f(x)$ changes its concavity are called inflection points.

Example 2 Using the graph of the derivative of $f(x)$ below, determine the concavity of $f(x)$.

Concave up: when • $x < 0$ • $1 < x < 2$

Concave down: • $0 < x < 1$ • $2 < x$

Inflection points: • $x = 0$ • $x = 1$ • $x = 2$



Q1: Where can $f''(x)$ change signs (i.e., $f(x)$ changes concavity)?

A1: At the points where (i) $f'' = 0$, or (ii) f'' is undefined (e.g., f' has a sharp corner).

Example 3 The position of an object moving on a straight line is given by $s(t) = 2t^3 + 3t^2 - 36t + 7$. Determine (a) where the graph of $s(t)$ is concave up, (b) where it is concave down, and (c) where there are inflection points, if any. Give physical interpretations for each of (a), (b), and (c).

$$s'(t) = 6t^2 + 6t - 36$$

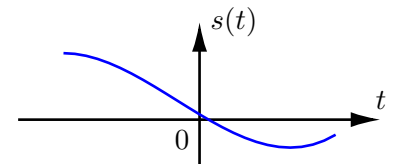
$$s''(t) = 12t + 6 = 0 \implies t = -\frac{1}{2}$$

(a) Object is accelerating.

(b) It is decelerating.

(c) Object from decelerating changes to accelerating.

t	$-1/2$
sign of $s''(t)$	- 0 +
info about $s(t)$	

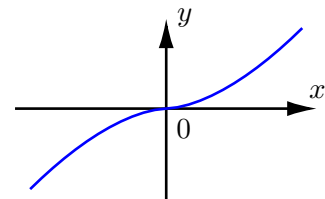


Example 4 Determine where the graph of $f(x) = x^{5/3}$ is concave up, where it is concave down, and where there are inflection points, if any. Sketch the graph of $f(x)$.

$$f'(x) = \frac{5}{3}x^{2/3}$$

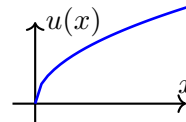
$$f''(x) = \frac{10}{9}x^{-1/3}$$

x	0
sign of $f''(x)$	- D.N.E. +
info about $f(x)$	



Application in Economics: Utility functions $u(x)$ are

- increasing $\iff u'(x) > 0$
- concave down $\iff u''(x) < 0$. (Like $u(x) = \sqrt{x}$)



Your turn (Application to Population/Pandemics Model): For the solution $y = y(t)$ of the logistic model below, show that its concavity changes when $y(t) = K/2$ (as the picture indicates).

$$\frac{dy}{dt} = ry \left(1 - \frac{y}{K}\right), \quad y(0) = y_0.$$

