

## Chap 1.1 – Finite Automata

- **Automata:** (Greek for “self-acting”) Device that
  - Performs its actions at (usually fixed) periodic intervals (Called a **Clock**)
    - With the change to the next interval called a **tick**
  - Accepts strings of input data one per tick
  - *Optionally* generates an output one per tick
    - Can be associated with either state or edge
  - Carries over memory of the **state** of its computation from tick to tick
  - Follows a stored set of **transition rules** that determines for each input & current state:
    - what is new state, what is output
- **State:**
  - Dictionary: “particular condition that something is in at a specific time”
  - For automata: Sum total of all information about computation that may affect what it does next
    - Corresponds to “memory”
  - Example: p. 32 – automatic door opener

- (p. 35) **Finite Automata (FA)** a.k.a **Finite State Machine**
  - Number of different states that system can be in is fixed
  - Equivalent to a finite (and small) amount of memory
  - Transition rules can only specify from one of these states to another
  - For now only one kind of output: “Yes” or “No”
    - Alternatively “**Accept**” or “**Reject**”
- (p. 34). **State Diagram**: Graph representation of a FA
  - One “labelled vertex” per state
    - Label is name of state
  - “Labelled Edge” represents a transition rule
    - Source vertex is state FA is in before a tick
    - Edge label is symbol that was on input
    - Target vertex is state the FA goes into next
    - If multiple transition rules go between same 2 states
      - Draw just one edge
      - With label = concatenation of all symbols from rules
  - **Start State**: state FA is to be in when it is turned on
    - Specified by an edge with no source
  - **Accepting State**: when entered, outputs “yes”
    - Double circle around state
- FA “accepts” or “rejects only when last input processed

- **Deterministic Finite Automata (DFA):** Exactly one transition rule defined for each combination of state and input
- **Nondeterministic Finite Automata (NFA):** (next class)
  - More than 1 rule possible per state & input
  - But only one taken at a time
    - Which will be discussed later
- **P. 33: Transition table D:**
  - 1 column for each possible input symbol
  - 1 row for each possible state
  - Contents of a cell of D: next state
- DFA Examples:
  - (p. 32-33) has transition table
  - (p. 32) has state diagram with start and accepting states
  - (p. 36) Ex. 1.6  $M_1$ : (Figs. 1.4 & 1.6) accepts any string with an even number of 0's after the last 1 (where no 0s is an even number)

- **P. 35. Formal Definition of a FA M** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ 
  - **Q**: finite set of **states**
  - **$\Sigma$** : finite set of symbols called **alphabet**
  - **$\delta$** :  $Q \times \Sigma \rightarrow Q$  called **transition function**
    - domain is pair of current\_state and Current\_input
    - range is from Q (new\_state)
  - **$q_0 \in Q$**  designated as **start state**
  - **$F \subseteq Q$**  is set of accepting states
- **P. 40 Formal Definition of a Computation:**
  - Given “machine”  $M = (Q, \Sigma, \delta, q_0, F)$
  - And  $w = w_1w_2 \dots w_n$  a string from  $\Sigma$
  - **M accepts w** if w causes a sequence of  $n+1$  states  $r_0, r_1, \dots, r_i, r_{i+1}, \dots, r_n$ 
    - $r_0 = q_0$ ,
    - $\delta(r_i, w_{i+1}) = r_{i+1}$  for  $i = 0$  to  $n-1$
    - $r_n \in F$  (key – in an accepting state after last input)
- M **recognizes** language A if
  - A is a language over  $\Sigma$  (i.e. A is a subset of  $\Sigma^*$ )
  - For all strings w in A, M accepts w
  - For all strings w not in A, M does not accept w

- Examples of machines that recognize languages
  - (p. 36) Ex. 1.7  $M_2$ : end in “1”
  - (p. 38) Ex 1.9  $M_3$ : either empty or end with a “0”
  - (p. 38) Ex 1.11  $M_4$ : start or end with “a”, or “b”
  - (p. 39) Ex 1.13  $M_5$ : sum of inputs after a reset =  $0 \pmod{3}$
  - (p. 40) Ex 1.15  $M_6$ : sum of inputs after a reset =  $0 \pmod{i}$
- (p. 41-43) – tips for designing FAs