

# Math 40510, Algebraic Geometry

## Problem Set 3 Solutions, due April 25, 2018

1. For which varieties  $V$  in  $\mathbb{C}^n$  is  $\mathbb{C}[V] = \mathbb{C}[x_1, \dots, x_n]/\mathbb{I}(V)$  a field? [This should only take a few lines, but give a full explanation. In particular, be sure to mention which results and/or assumptions you are using.]

*Solution:*

$\mathbb{C}[x_1, \dots, x_n]/\mathbb{I}(V)$  is a field if and only if  $\mathbb{I}(V)$  is a maximal ideal. We have seen that when  $k$  is algebraically closed, the maximal ideals in  $\mathbb{C}[x_1, \dots, x_n]$  are exactly the ideals of single points,

$$\mathfrak{m}_P = \langle x_1 - a_1, \dots, x_n - a_n \rangle,$$

where  $P = (a_1, \dots, a_n) \in \mathbb{C}^n$ . So  $\mathbb{C}[V]$  is a field if and only if  $V$  is a single point.

2. The following problems are in analogy with things we did in class about the rational normal curve.
- a) Let  $k$  be an infinite field. Let  $V$  be the variety in  $k^5$  defined by

$$\begin{aligned} x_1 &= a \\ x_2 &= a^2 \\ x_3 &= ab \\ x_4 &= b \\ x_5 &= b^2. \end{aligned}$$

for any  $a, b \in k$ . That is,  $V$  is the image of the map  $\phi : k^2 \rightarrow k^5$  defined by

$$\phi((a, b)) = (a, a^2, ab, b, b^2).$$

(For example, if  $P = (2, 3) \in k^2$  then  $\phi(P) = (2, 4, 6, 3, 9)$ .) Prove that  $V$  is irreducible. [I would like a complete and careful proof, not a one-line proof quoting a result in the book. However, feel free to use without proof the fact that  $V$  is irreducible if and only if  $\mathbb{I}(V)$  is a prime ideal in  $k[x_1, \dots, x_5]$ .]

*Solution:*

We know that  $V$  is irreducible if and only if  $\mathbb{I}(V)$  is a prime ideal, so we will prove that  $\mathbb{I}(V)$  is prime. Suppose  $f, g \in k[x_1, \dots, x_5]$  such that  $fg \in \mathbb{I}(V)$ . We want to show that either  $f \in \mathbb{I}(V)$  or  $g \in \mathbb{I}(V)$ .

The fact that  $fg \in \mathbb{I}(V)$  means that

$$f(a, a^2, ab, b, b^2) \cdot g(a, a^2, ab, b, b^2) = 0 \quad \text{for all } a, b \in k.$$

Thus the polynomial

$$f(s, s^2, st, t, t^2) \cdot g(s, s^2, st, t, t^2) \in k[s, t]$$

vanishes at every point of  $k^2$ . But this means that the polynomial  $f(s, s^2, st, t, t^2) \cdot g(s, s^2, st, t, t^2)$  is the zero polynomial, since  $k$  is infinite. Since  $k[s, t]$  is an integral domain, either  $f(s, s^2, st, t, t^2) = 0$  (as polynomials) or  $g(s, s^2, st, t, t^2) = 0$ . Hence either

$$f(a, a^2, ab, b, b^2) = 0 \text{ for all } (a, b) \in k^2 \quad \text{or} \quad g(a, a^2, ab, b, b^2) = 0 \text{ for all } (a, b) \in k^2.$$

Since  $V$  consists of the set of all points  $(a, a^2, ab, b, b^2)$  for  $(a, b) \in k^2$ , this means that either  $f(P) = 0$  for all  $P \in V$  or else  $g(P) = 0$  for all  $P \in V$ , i.e. either  $f \in \mathbb{I}(V)$  or  $g \in \mathbb{I}(V)$  as desired.

- b) Is  $\phi$  injective? If so, prove it. If not, explain why not.

*Solution:*

Yes. Suppose  $(a, a^2, ab, b, b^2) = (c, c^2, cd, d, d^2)$  for some  $(a, b)$  and  $(c, d)$  in  $k^2$ . By comparing the first and fourth coordinates of each point we get  $a = c$  and  $b = d$  so  $(a, b) = (c, d)$  and  $\phi$  is injective.

- c) Is  $\phi$  surjective? If so, prove it. If not, explain why not.

*Solution:*

No. For example,  $(1, 2, 3, 4, 5)$  can't be in the image since  $2 \neq 1^2$  (among other reasons).

- d) There are six linearly independent minimal generators for  $\mathbb{I}(V)$ , all of degree 2. Find them. You don't have to prove that they are linearly independent (i.e. that no non-trivial scalar linear combination is equal to zero), but if you give one that either has degree different from 2 or is linearly dependent on the others, you won't get credit for it.

*Solution:*

$$\begin{array}{ll} x_1x_4 - x_3 & \text{since } (a)(b) - ab = 0 \text{ for all } a, b \in k; \\ x_1^2 - x_2 & \text{since } (a)^2 - a^2 = 0 \text{ for all } a, b \in k; \\ x_4^2 - x_5 & \text{since } (b)^2 - b^2 = 0 \text{ for all } a, b \in k; \\ x_1x_3 - x_2x_4 & \text{since } (a)(ab) - (a^2)(b) = 0 \text{ for all } a, b \in k; \\ x_3^2 - x_2x_5 & \text{since } (ab)^2 - (a^2)(b^2) = 0 \text{ for all } a, b \in k; \\ x_3x_4 - x_1x_5 & \text{since } (ab)(b) - (a)(b^2) = 0 \text{ for all } a, b \in k. \end{array}$$

3. Consider the ideal  $\langle x^2 + 1 \rangle$ . For this problem, remember what you know about  $\mathbb{F}[x]$ , where  $\mathbb{F}$  is a field.

We will use the following facts in this problem. Since  $\mathbb{F}$  is a field,  $\mathbb{F}[x]$  is a principal ideal domain. Furthermore, again since  $\mathbb{F}$  is a field, a polynomial  $f$  of degree two factors (into a product of linear polynomials) if and only if it has a root. Finally, if  $f, g \in \mathbb{F}[x]$  both have degree  $\geq 1$  and  $\deg(g) < \deg(f)$  then  $f = gh$  for some  $h \in \mathbb{F}[x]$  if and only if  $\langle f \rangle \subsetneq \langle g \rangle$ .

- a) Prove that  $\langle x^2 + 1 \rangle$  is a maximal ideal in  $\mathbb{R}[x]$ .

*Solution:*

Since  $x^2 + 1$  has no root in  $\mathbb{R}$ , it does not factor into a product of linear polynomials. Suppose that  $\langle x^2 + 1 \rangle$  were not maximal, so there is some ideal  $I$  with  $\langle x^2 + 1 \rangle \subsetneq I \subsetneq \mathbb{R}[x]$ . Since  $\mathbb{R}[x]$  is a principal ideal domain,  $I = \langle g \rangle$  for some  $g \in \mathbb{R}[x]$ . Since  $I \subsetneq \mathbb{R}[x]$ ,  $g$  must have degree  $> 0$ . Since  $\langle x^2 + 1 \rangle \subset \langle g \rangle$ ,  $g$  divides  $f$ . But  $f$  has degree two and does not have any linear factors, so it does not have any factors at all (of positive degree). This contradicts the fact that  $g$  divides  $f$ . Thus  $I$  does not exist and  $\langle x^2 + 1 \rangle$  is a maximal ideal.

- b) Prove that  $\langle x^2 + 1 \rangle$  is not a maximal ideal in  $\mathbb{C}[x]$ .

*Solution:*

$x^2 + 1 = (x + i)(x - i)$  in  $\mathbb{C}[x]$  so  $\langle x^2 + 1 \rangle \subsetneq \langle x + i \rangle$  and  $\langle x^2 + 1 \rangle$  is not maximal.

- c) Find three other fields  $\mathbb{F}$  where  $\langle x^2 + 1 \rangle$  is not a maximal ideal in  $\mathbb{F}[x]$  and explain your answer.

*Solution:*

From what we said above, we just want three fields where  $x^2 + 1$  factors.

- In  $\mathbb{Z}_2$ ,  $x^2 + 1 = (x + 1)(x + 1)$  so  $\langle x^2 + 1 \rangle \subsetneq \langle x + 1 \rangle$ .

- In  $\mathbb{Z}_5$ ,  $x^2 + 1 = (x + 2)(x + 3)$  so  $\langle x^2 + 1 \rangle \subsetneq \langle x + 2 \rangle$ .
  - In  $\mathbb{Z}_{17}$ ,  $x^2 + 1 = (x + 4)(x + 13)$  so  $\langle x^2 + 1 \rangle \subsetneq \langle x + 4 \rangle$ .
4. If  $I \subset \mathbb{R}[x_1, \dots, x_n]$  is a maximal ideal, show that either  $\mathbb{V}(I) \subset \mathbb{R}^n$  is empty or  $\mathbb{V}(I)$  is a single point in  $\mathbb{R}^n$ .

*Solution:*

We've seen that  $\mathbb{V}(I)$  can be empty in  $\mathbb{R}^n$  (e.g. when  $n = 1$ , take  $I = \langle x^2 + 1 \rangle$ ) and  $\mathbb{V}(I)$  can be a single point (e.g. when  $n = 2$ , take  $I = \langle x, y \rangle$ , which we showed in class is maximal).

All that's left is to show that  $\mathbb{V}(I)$  can't contain more than one point. Indeed, suppose  $P, Q \in \mathbb{V}(I)$  with  $P \neq Q$ . Then

$$\mathbb{R}[x_1, \dots, x_n] \supsetneq \mathbb{I}(P) \supsetneq \mathbb{I}(P \cup Q) \supseteq \mathbb{I}(\mathbb{V}(I)) \supseteq I$$

so  $I$  is not a maximal ideal (since  $\mathbb{I}(P)$  is properly between  $I$  and  $\mathbb{R}[x_1, \dots, x_n]$ ).

5. In class we said that if  $f$  is homogeneous in  $k[x_0, x_1, \dots, x_n]$  then  $\mathbb{V}(f)$  is well-defined in  $\mathbb{P}_k^n$ . (You can use this fact in this problem.) We didn't talk much about the converse.

In all parts of this problem we let  $f_1, f_2 \in k[x_0, \dots, x_n]$  be homogeneous, not necessarily of the same degree. Let  $V = \mathbb{V}(f_1, f_2)$ . Let  $f = f_1 + f_2$ . Assume that  $k$  is an infinite field.

- a) (Still no assumption on the degrees of  $f_1$  and  $f_2$ .) Prove that the vanishing of  $f$  at any point  $P = [a_0, a_1, \dots, a_n]$  of  $V$  is well-defined. Remember that  $P = [ta_0, ta_1, \dots, ta_n]$  for any  $t \in k$ ,  $t \neq 0$ , so this is asking you to show that if  $P \in V$  then  $f(ta_0, ta_1, \dots, ta_n) = 0$  for all  $t \in k$ , and no matter whether  $f_1$  and  $f_2$  have the same degree or not.

*Solution:*

We are assuming that  $P \in V$ , so  $f_1(P) = 0$  and  $f_2(P) = 0$  are well-defined. That is,  $f_1(ta_0, ta_1, \dots, ta_n) = 0$  for all  $t \in k$ , and  $f_2(ta_0, ta_1, \dots, ta_n) = 0$  for all  $t \in k$ . Then

$$\begin{aligned} f(P) &= f(ta_0, ta_1, \dots, ta_n) \\ &= f_1(ta_0, ta_1, \dots, ta_n) + f_2(ta_0, ta_1, \dots, ta_n) \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

for all  $t \in k$ .

- b) Assume that  $\deg(f_1) = \deg(f_2)$ . Give an example to show that there may be points of  $\mathbb{P}_k^n$  not in  $V$  where  $f(P) = 0$  is well-defined.

*Solution:*

Let's take  $n = 2$ . Let  $f_1 = x$  and  $f_2 = y$ . Let  $P = [1, -1, 1] \in \mathbb{P}_k^2$ . Then neither  $f_1$  nor  $f_2$  vanish at  $P$ , so  $P \notin V$ , but clearly  $f_1 + f_2$  does vanish at  $P$ .

- c) Now assume that  $\deg(f_1) \neq \deg(f_2)$ . Prove that if  $P \notin V$  then  $f$  does not vanish at  $P$ . In other words, what I'm asking you to show is that there is some value of  $t$  for which  $f(ta_0, ta_1, \dots, ta_n) \neq 0$ . [Hint: we know that the vanishing of  $f$  at  $P$  is well-defined if  $f_1$  and  $f_2$  have the same degree, so somewhere you should use the fact that they have different degrees.]

*Solution:*

Assume  $\deg(f_1) = d_1 < d_2 = \deg(f_2)$ . We have assumed that  $P \notin V$ , which means that it is not true that both  $f_1(ta_0, ta_1, \dots, ta_n) = 0$  for all  $t \in k$  and also  $f_2(ta_0, ta_1, \dots, ta_n) = 0$  for all  $t \in k$ . Since both  $f_1$  and  $f_2$  are homogeneous, this means that we can assume that either  $f_1(a_0, \dots, a_n)$  or  $f_2(a_0, \dots, a_n)$  is a non-zero scalar. (Notice that we are not allowing the  $(n+1)$ -tuple to be multiplied by scalars here – we are fixing  $a_0, \dots, a_n$ .)

Then

$$\begin{aligned} f(ta_0, ta_1, \dots, ta_n) &= f_1(ta_0, ta_1, \dots, ta_n) + f_2(ta_0, ta_1, \dots, ta_n) \\ &= t^{d_1} f_1(a_0, a_1, \dots, a_n) + t^{d_2} f_2(a_0, a_1, \dots, a_n) \\ &= t^{d_1} [f_1(a_0, \dots, a_n) + t^{d_2-d_1} f_2(a_0, a_1, \dots, a_n)] \end{aligned}$$

Since  $d_1 < d_2$ , the exponent of  $t$  in front of  $f_2$  is non-zero. We have seen that  $f_1(a_0, \dots, a_n)$  and  $f_2(a_0, \dots, a_n)$  are just scalars, possibly zero but not both zero.

If  $f_2(a_0, \dots, a_n) = 0$  then we are assuming that  $f_1(a_0, \dots, a_n) \neq 0$  so just take  $t = 1$ .

If  $f_1(a_0, \dots, a_n) = 0$  then  $f_2(a_0, \dots, a_n) \neq 0$  so again we can take  $t = 1$ .

If neither  $f_1(a_0, \dots, a_n) = 0$  nor  $f_2(a_0, \dots, a_n) = 0$  then because  $k$  is infinite, the polynomial

$$[f_1(a_0, \dots, a_n) + t^{d_2-d_1} f_2(a_0, a_1, \dots, a_n)]$$

cannot be zero for all  $t \in k$ , so choose any  $t$  for which this is non-zero.

6. One of the really cool things about projective space is the notion of **duality**. Let's limit ourselves to  $\mathbb{P}_{\mathbb{R}}^2$ , the real projective plane. (We will understand that we are working over  $\mathbb{R}$  and not bother writing the subscript  $\mathbb{R}$  each time.)

Recall that a line  $\ell$  in  $\mathbb{P}^2$  is the vanishing locus of a homogeneous linear polynomial, i.e.  $\ell = \mathbb{V}(ax + by + cz)$  for some choice of  $a, b, c \in \mathbb{R}$  not all zero.

- a) Show that  $ax + by + cz = 0$  defines the same line as  $3x + 4y + 5z = 0$  if and only if there exists some  $t \in \mathbb{R}$  such that  $a = 3t$ ,  $b = 4t$  and  $c = 5t$ . (Of course 3, 4, 5 is just an example.) [Hint:  $\Leftarrow$  is almost immediate. For  $\Rightarrow$ , you can use the fact that in  $\mathbb{P}^2$ , either two lines meet at a single point or they are the same line. It may help to take the linear algebra point of view.]

*Solution:*

$\Leftarrow$ :

If we know in advance that  $a = 3t$ ,  $b = 4t$  and  $c = 5t$  then

$$ax + by + cz = 0 \Leftrightarrow (3t)x + (4t)y + (5t)z = 0 \Leftrightarrow 3x + 4y + 5z = 0$$

so they define the same line.

$\Rightarrow$ :

Consider the lines  $\mathbb{V}(ax + by + cz)$  and  $\mathbb{V}(3x + 4y + 5z)$  in  $\mathbb{P}^2$ . Either they meet in a single point or they are the same line. To find out which, we solve a system of homogeneous linear equations

$$\begin{aligned} 3x + 4y + 5z &= 0 \\ ax + by + cz &= 0. \end{aligned}$$

Each equation represents a plane through the origin in  $\mathbb{R}^3$ . The lines in  $\mathbb{P}^2$  meet in a single point if and only if the solution space of these two equations is a 1-dimensional subspace of  $\mathbb{R}^3$  (i.e. a line through the origin in  $\mathbb{R}^3$ , i.e. a point of  $\mathbb{P}^2$ ). Looking at the coefficient matrix

$$\begin{bmatrix} 3 & 4 & 5 \\ a & b & c \end{bmatrix}$$

we know that the solution space is 1-dimensional if and only if the rank of this matrix is 2, if and only if neither row is a multiple of the other. So the lines are the same in  $\mathbb{P}^2$  if and only if the solution space is 2-dimensional, if and only if  $a = 3t$ ,  $b = 4t$  and  $c = 5t$  for some non-zero  $t$  as claimed.

- b) Based on a), show that the **set** of lines in  $\mathbb{P}^2$  itself can be viewed as a projective plane, which we will denote by  $(\mathbb{P}^2)^\vee$ .

*Solution:*

$$\{ \text{Lines in } \mathbb{P}^2 \} = \{ \mathbb{V}(ax + by + cz) \} = \{ [a, b, c] \}$$

where the latter is the set of triples of real numbers, not all zero, up to scalar multiples, i.e. the latter is a projective plane.

$(\mathbb{P}^2)^\vee$  is called the **dual projective plane**. So what we have so far is that a point  $P = [a, b, c]$  in  $(\mathbb{P}^2)^\vee$  corresponds to the line  $\ell_P = \mathbb{V}(ax + by + cz)$  in  $\mathbb{P}^2$ . You can use this for the next two parts even if you didn't get a) and/or b). Furthermore, even if you don't get c) you can use the statement of c) to do d) and e).

- c) Let  $P_1, P_2, P_3$  be points of  $(\mathbb{P}^2)^\vee$  and let  $\ell_{P_1}, \ell_{P_2}, \ell_{P_3}$  be the lines in  $\mathbb{P}^2$  that they correspond to. Show that  $P_1, P_2, P_3$  all lie on a line in  $(\mathbb{P}^2)^\vee$  if and only if  $\ell_{P_1}, \ell_{P_2}, \ell_{P_3}$  all pass through a common point. [Hint: if you look at the equation  $ax + by + cz = 0$ , you can think of  $a, b, c$  as given and  $x, y, z$  as the variables, OR you can think of  $x, y, z$  as given and  $a, b, c$  as the variables!]

*Solution:*

Say  $P_i = [a_i, b_i, c_i]$  for  $i = 1, 2, 3$ . Then the  $P_i$  all lie on a line in  $(\mathbb{P}^2)^\vee$  if and only if there are some **constants**  $p, q, r \in \mathbb{R}$  such that  $[a_1, b_1, c_1], [a_2, b_2, c_2]$  and  $[a_3, b_3, c_3]$  are all solutions to the equation

$$pa + qb + rc = 0$$

in the variables  $a, b, c$ . That is, we have

$$\begin{aligned} a_1p + b_1q + c_1r &= 0 \\ a_2p + b_2q + c_2r &= 0 \\ a_3p + b_3q + c_3r &= 0 \end{aligned}$$

But this means that  $[p, q, r]$  is a common solution of the equations

$$\begin{aligned} a_1x + b_1y + c_1z &= 0 \\ a_2x + b_2y + c_2z &= 0 \\ a_3x + b_3y + c_3z &= 0 \end{aligned}$$

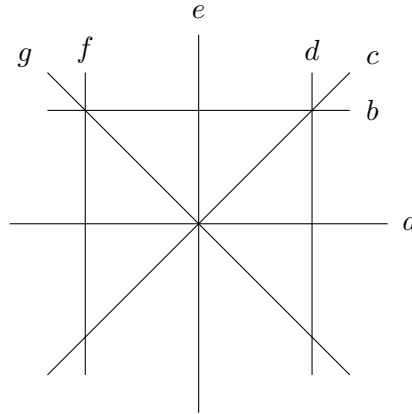
i.e.  $[p, q, r]$  is common to the lines  $\mathbb{V}(a_1x + b_1y + c_1z), \mathbb{V}(a_2x + b_2y + c_2z), \mathbb{V}(a_3x + b_3y + c_3z)$ , i.e. to the lines  $\ell_{P_1}, \ell_{P_2}, \ell_{P_3}$  as desired.

- d) Using c), if you take a **line** in  $(\mathbb{P}^2)^\vee$ , what does the collection of all the points on this line correspond to back in  $\mathbb{P}^2$ ? Explain your answer carefully.

*Solution:*

The points on this line are all on the same line (obviously), so the corresponding lines in  $\mathbb{P}^2$  all pass through the same common point, by c). This collection of lines through a common point is called a **pencil** of lines.

- e) The following is a set of lines in  $\mathbb{P}_{\mathbb{R}}^2$ , labelled  $a$  to  $g$ .



Sketch the set of points in  $(\mathbb{P}^2)^\vee$  dual to these lines, and label them  $A$  to  $G$  **corresponding to the similarly named lines**. Make sure that your sketch reflects when three or more of the points are on a line. [Hint: in addition to the obvious places where three or more lines meet, the three vertical lines meet at infinity!! Part c) is crucial in this problem.]

*Solution:*

We have to make sure that  $A, C, E, G$  are collinear,  $B, C, D$  are collinear,  $B, F, G$  are collinear and  $D, E, F$  are collinear. Here is one possible sketch. The blue lines are just to emphasize which points are collinear.

