

Basic Concepts ○○○○○○○	Domination ○○○○	Randomness ○○○	Existence ○	Reverse Mathematics ○○	Proof Sketches ○○○○○○○○○
---------------------------	--------------------	-------------------	----------------	---------------------------	-----------------------------

## Computability Theory

### Domination, Measure, Randomness, and Reverse Mathematics

Peter Cholak

University of Notre Dame  
Department of Mathematics

Peter.Cholak.1@nd.edu

<http://www.nd.edu/~cholak/papers/myc2.pdf>

Supported by NSF Grant DMS 02-45167

New York Logic Colloquium  
Friday, November 11, 2005

Basic Concepts ○○○○○○○	Domination ○○○○	Randomness ○○○	Existence ○	Reverse Mathematics ○○	Proof Sketches ○○○○○○○○○
---------------------------	--------------------	-------------------	----------------	---------------------------	-----------------------------

## The Game Plan

Basic Concepts  
Computability  
Cantor Space – Category and Measure

Domination  
and Measure, again

Randomness

Existence

Reverse Mathematics

Proof Sketches

Basic Concepts ●○○○○○	Domination ○○○○	Randomness ○○○	Existence ○	Reverse Mathematics ○○	Proof Sketches ○○○○○○○○○
--------------------------	--------------------	-------------------	----------------	---------------------------	-----------------------------

## Domination

### Motivation and Definition



#### Definition

- Let  $f, g: \omega \rightarrow \omega$ . The function  $f$  *majorizes*  $g$ ,  $f \succeq g$ , if  $f(n) \succeq g(n)$  for all  $n \in \omega$ .
- If  $f(n) \succeq g(n)$  for all but finitely many  $n$ , then  $f$  *dominates*  $g$ ,  $f \succeq^* g$ .

Basic Concepts ●●○○○○	Domination ○○○○	Randomness ○○○	Existence ○	Reverse Mathematics ○○	Proof Sketches ○○○○○○○○○
--------------------------	--------------------	-------------------	----------------	---------------------------	-----------------------------

## Primitive Recursion Functions

### Ackermann's Function

#### Theorem

*Ackermann's function dominates all primitive recursive functions.*

Ackermann's function is computable. Hence the primitive recursive functions do not capture the informal notion of *computable*.

## Computable Functions

Basic Definitions

### Definition (Turing Machines)

- $\Phi_{e,s}^X(n) = \Phi_{e,s}(X, n) = \Phi_{e,s}^{\Delta_1^X}(X, n)$  is the  $e$ th Turing machine with oracle  $X$  with input  $n$  run  $s$  stages. Determining if  $\Phi_{e,s}^X(n) \downarrow$  is computable in  $X$  and is  $\Delta_1^X$ . The number and type of the inputs can vary.
- $\Phi_e^X(n) \downarrow$  if there is a stage  $s$  such that  $\Phi_{e,s}^X(n) \downarrow$ .  $\Phi_e$  need not be total.

### Definition (Turing Reducibility)

- $f \leq_T X$  iff  $f = \Phi_e^X$ , for some  $e$ .
- $Y \leq_T X$  iff  $\chi_Y \leq_T X$ .
- $Y \equiv_T X$  iff  $X \leq_T Y$  and  $Y \leq_T X$ . We write this as the Turing degree,  $x$ .

## Post's Program

Highness and dominant functions

### Definition (Dominant)

$f$  is *dominant* iff  $f$  dominates every computable function.

### Statement (Post's Program)

To find a "sparseness" property of a c.e. set which ensure incompleteness.

### Theorem (Tennenbaum and Martin)

If  $M$  is a maximal set (the only partial computable sets in the complement of  $M$  are finite) then  $p_M$  is dominant. (if  $\bar{M} = \{a_0 < a_1 \dots\}$  then  $p_M(n) = a_m$ .)

### Theorem (Martin)

- Every high c.e. degree contains a maximal set.
- There is a dominant function of degree  $\mathbf{a}$  iff  $\mathbf{0}^{\mathbf{a}} \leq_T \mathbf{a}'$ , i.e.  $\mathbf{a}$  is high. ( $\mathbf{a}' = \{e \mid \Phi_e^{\mathbf{a}}(e) \downarrow\}$ ).

## Cantor Space

Category

We will work in Cantor Space,  $2^{\mathbb{N}}$ .

### Definition

- Let  $\sigma \in 2^{\mathbb{N}}$ .  $[\sigma]$  is a basic clopen (open and closed) class.
- A *open* class is a countable union of basic open classes. The complement of an open class is *closed*.
- The countable intersection of (basic) open classes is a  $G_\delta$  class.
- The countable union of closed classes is a  $F_\sigma$  class.

Cantor Space is compact: if a closed class  $\mathbb{X}$  can be covered by open classes,  $\mathbb{X}$  can be covered by finitely open classes.

## Cantor Space

Computability and Category

### Definition

- $\mathbb{X} \subseteq 2^{\mathbb{N}}$  is *computable* in  $Z$  iff there is a total  $\Phi$  such that  $X \in \mathbb{X}$  iff  $\Phi^Z(X) \downarrow = 1$ . ( $\Phi$  total here and below.)
- $\mathbb{X}$  is  $\Pi_1^Z$  iff  $\mathbb{X} = \{X \mid \forall n (\Phi^Z(X, n) \downarrow = 1)\}$ .
- $\mathbb{X}$  is  $\Pi_2^Z$  iff  $\mathbb{X} = \{X \mid \forall n \exists m (\Phi^Z(X, n, m) \downarrow = 1)\}$ .

### Lemma

- $\mathbb{X}$  is clopen iff  $\mathbb{X}$  is computable.
- $\mathbb{X}$  is closed iff  $\mathbb{X}$  is  $\Pi_1^Z$ , for some  $Z$ . If  $Z = \emptyset$  then  $\mathbb{X}$  is called a  $\Pi_1^0$ -class or effectively closed.
- A class  $\mathbb{X}$  is  $G_\delta$  iff  $\mathbb{X}$  is  $\Pi_2^Z$ , for some  $Z$ . These classes are called boldface  $\Pi_2^0$  or  $\Pi_2^0$ .
- Similarly a  $F_\sigma$  class is  $\Sigma_2^0$ .

### Definition

- $\mu([\sigma]) = 2^{-|\sigma|}$ . This determines the measure of  $G_\delta$  classes and hence  $F_\sigma$  classes.
- A class  $\mathcal{X}$  is measurable iff  $\liminf \mu(\mathcal{G})$  exists and is equal to  $\mu(\mathcal{X})$ , where  $\mathcal{G}$  is a  $G_\delta$  class containing  $\mathcal{X}$ .

### Definition

A Borel measure  $\hat{\mu}$  is *regular* if every measurable (in terms of  $\hat{\mu}$ ) class  $\mathcal{P}$  there is a  $G_\delta$  class  $\mathcal{Q} \supseteq \mathcal{P}$  and an  $F_\sigma$  class  $\mathcal{S} \subseteq \mathcal{P}$  such that  $\hat{\mu}(\mathcal{S}) = \hat{\mu}(\mathcal{P}) = \hat{\mu}(\mathcal{Q})$ .

### Theorem

$\mu$  is regular.

### Definition (Dobrinen and Simpson)

- A Turing degree  $\mathbf{a}$  is *almost everywhere (a.e.) dominating* if for almost all  $Z$  for all  $g \leq_T Z$  there is function  $f$  of degree  $\mathbf{a}$  which dominates  $g$ .
- A Turing degree  $\mathbf{a}$  is *uniformly almost everywhere (u.a.e.) dominating* if there is function  $f$  of degree  $\mathbf{a}$  such that

$$\mu \left( \{Z \in 2^\omega : (\forall g) [g \leq_T Z \Rightarrow g \leq^* f]\} \right) = 1.$$

We also call such a function  $f$  *uniformly a.e. dominating*.

### Lemma

*U.a.e. dominating implies a.e. dominating. An u.a.e. dominating function is dominant.*

### Definition (Kjos-Hanssen)

- $\text{Tot}(\Phi) = \{X \mid \forall n \exists s \Phi_s(X, n) \downarrow \text{ is total}\}$
- $\Phi < \mathbf{a}$  iff either  $\mu(\text{Tot}(\Phi)) = 0$  or there is an  $f \leq_T \mathbf{a}$  such that
 
$$\mu(\{X \in \text{Tot}(\Phi) \mid f \geq \Phi(X)\}) > 0.$$
- If, for all  $\Phi$ ,  $\Phi < \mathbf{a}$  then  $\mathbf{a}$  is *positive measure (p.m.) dominating*.

### Lemma

*U.a.e. dominating implies a.e. dominating implies p.m. dominating.*

### Question (Main Open Question)

*Does p.m. dominating imply a.e. dominating? Does a.e. dominating imply u.a.e. dominating?*

### Theorem (Dobrinen and Simpson)

*A Turing degree  $\mathbf{a}$  is u.a.e. dominating iff for every  $\Pi_2^0$  class  $\mathcal{Q} \subseteq 2^\omega$  there is a  $\Sigma_2^0$  class  $\mathcal{S} \subseteq \mathcal{Q}$  such that  $\mu(\mathcal{S}) = \mu(\mathcal{Q})$ .*

$$(\Rightarrow) \quad \mathcal{Q} = \{X \mid \forall n (\Phi_e(X, n) \downarrow)\},$$

for some  $e$ . Let  $\Psi$  be such that  $\Psi^X(n)$  is the least  $s$  where  $\Phi_{e,s}(X, n) \downarrow$ .  $f$  dominates  $\Psi^X$  for almost all  $X \in \mathcal{Q}$ .

$$\mathcal{S} = \{X : \exists k \forall n (\Phi_{e,f(n)+k}(X, n) \downarrow)\}.$$

### Theorem (Kjos-Hanssen after Dobrinen and Simpson)

A Turing degree  $\mathbf{a}$  is *p.m. dominating* iff  $\text{Tot}(\Phi)$  has a  $\Pi_1^1$  subclass,  $\mathcal{F}$ , of positive measure.

( $\Leftarrow$ ) By compactness,  $\{\Phi(X, n) \mid X \in \mathcal{F}\}$  is finite for all  $n$ . Therefore  $\{(n, m) : \forall X (X \in \mathcal{F} \rightarrow \Phi(X, n) < m)\}$  is  $\Sigma_1^1$ . Hence by  $\Sigma_1^1$  uniformization there is a function  $f \leq \mathbf{a}$  such that  $\forall n \forall X (X \in \mathcal{F} \rightarrow \Phi(X, n) < f(n))$ .

**Goal Check I:** We have related domination and measure. Now lets add randomness to this mixture.

Want to miss all "effectively null classes".

### Definition (Martin-Löf)

- A *Martin-Löf test* (relative to  $X$ ) is a computable (in  $X$ ) collection of  $\Sigma_1^1$  open classes  $\{U_e\}$  with  $\mu(U_e) \leq 2^{-e}$ .
- $R$  misses a test,  $\{U_e\}$ , iff  $R \notin \bigcap_e U_e$ .
- $R$  is *1-random* (relative to  $X$ ) iff  $R$  misses all Martin-Löf tests (relative to  $X$ ).

### Theorem (Martin-Löf, Solovay, Levin, Chaitin, Kolmogorov)

The definition of 1-randomness is very robust.

### Definition

- $A$  is *low for random* iff the class of 1-randoms is the class of 1-randoms relative to  $A$ .
- $A$  is *low for random over  $Z$*  iff the class of 1-randoms relative to  $Z$  is the class of 1-randoms relative to  $A$  and  $Z$  (or equivalently  $A \oplus Z$ ).

### Theorem (Downey, Hirschfeldt, Nies, Solovay, Stephan, Terwijn)

The class of  $A$  such that  $A$  is low for random is a nontrivial robust class. Furthermore for all such  $A$ ,  $A' \leq \mathcal{O}'$ .

### Theorem (Kjos-Hanssen)

$A$  is low for random iff every  $\Pi_1^1$  class of positive measure has a  $\Pi_1^1$  subclass of positive measure.

### Theorem (Kjos-Hanssen)

$\mathcal{O}'$  is low for random over  $X$  iff  $\text{Tot}(\Phi)$  has a  $\Pi_1^1$  subclass,  $\mathcal{F}$ , of positive measure iff  $X$  is of p.m. dominating degree.

Some of this work is joint with Binns, Lerman and Solomon and also depends on work by Nies and Stephan.

**Goal Check II:** The above theorem tells us that p.m. dominating degrees exist but does not provide a direct construction. It also says nothing about u.a.e. dominating degrees.

## Nontrivial Existence

### Theorem (Kurtz)

Any  $a \geq_T 0'$  is uniformly a.e. dominating.

### Theorem (Cholak, Greenberg, Miller)

There is an incomplete (c.e.) uniformly a.e. dominating degree.

- A proof via priority argument. Gives an c.e. degree. But does not seem to mix with cone avoidance (open question).
- A proof via flexible forcing construction. The resulting generic  $f$  can avoid any PA degree or any DNR-degree (or c.e. degree) and can avoid the cone above any Turing degree  $a$ .

## Reverse Mathematics

### Statement ( $G_\delta$ -REG)

For every  $G_\delta$  class  $\mathcal{Q} \subseteq 2^\omega$  there is a  $F_\sigma$  class  $\mathcal{F} \in \mathcal{Q}$  such that  $\mu(\mathcal{F}) = \mu(\mathcal{Q})$ .

### Theorem (Dobrinen and Simpson)

$ACA_0$  implies  $G_\delta$ -REG.

### Theorem (Kjos-Hanssen)

$RCA_0 + G_\delta$ -REG does not imply  $ACA_0$ .

## $G_\delta$ -REG and traditional systems

$G_\delta$ -REG seems to be "orthogonal" to the traditional systems.

### Theorem (Referee of Dobrinen and Simpson)

$WKL_0$  does not imply  $G_\delta$ -REG.

### Theorem (Cholak, Greenberg, Miller)

$RCA_0 + G_\delta$ -REG does not imply  $DNR_0$ .

### Theorem (Cholak, Greenberg, Miller)

$WKL_0 + G_\delta$ -REG does not imply  $ACA_0$ ;  $WWKL_0 + G_\delta$ -REG does not imply  $WKL_0$ .

### Question

Does  $DNR_0 + G_\delta$ -REG imply  $WWKL_0$ ?

## Universal Functional and Conventions

### Lemma

There is a partial computable functional  $\Phi: 2^\omega \rightarrow \omega^\omega$  such that if, for almost all  $Z$ , either  $\Phi(Z)$  is not total or  $\Phi(Z) \leq^* f$  then  $f$  is uniformly a.e. dominating.

### Definition

- $D_n = \{Z \in 2^\omega : \Phi(Z; n) \downarrow\}$ .
- $D_n[s] = \{Z \in 2^\omega : \Phi(Z; n)[s] \downarrow\}$ . So, by convention,  $\bigcup D_n[s] = D_n$ ,  $D_{n+1} \subseteq D_n$ , and  $\text{Tot}(\Phi) = \bigcap_n D_n$ .
- For  $g \in \omega^{\leq \omega}$ , let  $D_{[n,m]}[g]$  be  $\{Z \in 2^\omega : (\forall k \in [n, m]) \Phi(Z; k) \downarrow [g(k)]\}$ .

Hence if  $Z \in D_{[n,m]}[g]$ , then  $g$  majorizes  $\Phi(Z)$  on the interval  $[n, m]$ .

**Goal:** Define  $f$  s.t.  $\mu(\text{Tot}(\Phi) - D_{[N_i, \infty)}[f]) \leq \beta_i$ , for all  $i$ .  
 Must have that  $N_i \geq i$  exists and  $\lim_i \beta_i = 0$ . We will focus on one  $i$ .

We want to define  $f(s)$  s.t.  $\mu(D_s - D_s[f(s)]) \leq \beta'_s$ , where  $s \geq N_i$ .

Now  $\text{Tot}(\Phi) - D_{[N_i, \infty)}[f] \subseteq \bigcup_{s \geq N_i} (D_s - D_s[f(s)])$ .

So must pick the  $\beta'_s$ 's s.t.  $\sum_{s \geq m_i} \beta'_s \leq \beta_i$ .

All error is disjoint from previous error.

Assume that we have inductively defined  $f$  for all  $n' < n$  s.t.  $\mu(D_{n'} - D_{n'}[f(n')]) \leq \beta'_{n'}$ .

$\mu(D_n - D_n[t]) > \beta'_n$  iff there is a  $t' > t$  and finitely incomparable many  $\tau_i$  s.t.  $\tau_i \in (D_n[t'] - D_n[t])$  and  $\mu(\bigcup \tau_i) \geq \beta'_n$ . This is  $\Sigma_1$ .

Hence using  $\mathcal{O}'$  we can find a  $t$  s.t.  $\mu(D_n - D_n[t]) \leq \beta'_n$ . Let  $f(n) = t$ .

Assume that we allow  $f = \lim_s f_s$  where  $f_s \in \omega^\omega$  and for all  $s, s', n$  if  $s \leq s'$  then  $f_s(n) \leq f_{s'}(n)$ . Then  $f$  is of c.e. degree.

Let  $f_0(n) = n$ . If there is ever a stage  $t$  s.t.  $\mu(D_n[t] - D_n[f(n)]) > \beta'_n$  let  $f_i(n) = t$ .

But how is it possible to restrain some initial segment of  $f_s$  which might involve finitely many  $n$ ?

Need a better way to measure  $\text{Tot}(\Phi)$ .

$\mu(\text{Tot}(\Phi)) \geq q$  iff there is an  $M$  s.t.

$$(\forall n \geq M)[\mu(\text{dom } D_n) \geq q].$$

Fix  $0 < \epsilon < 1$ . We can code  $M$  and  $K$  into the tree and ask

$$(\forall n \geq M)[\mu(\text{dom } D_n) \geq K\epsilon].$$

This can be done by a  $\Pi_2$  branching tree construction. Hence we can measure  $\text{Tot}(\Phi)$  to within  $\epsilon/2$ .

### Incompleteness Requirements

If we restrain  $f$  up to  $r$  we must ensure

$$\mu(\text{Tot}(\Phi) \setminus D_{(N,r)}[f]) \leq \epsilon.$$

Assume that we know the least  $K$  s.t.  $\text{Tot}(\Phi) \geq K\epsilon$ . Pick a witness  $x$  and wait for a stage  $s$  and  $g$  s.t.

- $f_s \upharpoonright N \subseteq g$ ,
- $(\forall n \in [N, |g|]) f_s(n) < g(n)$ ,
- $\varphi_{g,s}^g(x) = 0$ , and
- $\mu(D_{(N,|g|)}[g]) \geq K\epsilon$ .

More or less straightforward to put everything into a tree construction. Assume all the nodes of length  $2\epsilon$  are working on the  $\varphi_x$ . If one is successful, stop. Everything is set up to allow ONE restraint of the form:

$$\mu(\text{Tot}(\Phi) \setminus D_{(N,r)}[f]) \leq \epsilon.$$

Hence the current c.e. construction does not mix with cone avoiding.

### Forcing Conditions

$\mathbf{p} = \langle f, \epsilon \rangle$  is a condition, where  $f \in \omega^{<\omega}$  and  $\epsilon$  is a promise we will dominate  $\text{Tot}(\Phi)$  from  $|f|$  onwards within  $\epsilon$ .

$\langle g, \delta \rangle$  extends  $\langle f, \epsilon \rangle$  if  $f \subset g$ ,  $\delta \leq \epsilon$  and, if  $f \neq g$ , then

$$\mu(\text{Tot}(\Phi) \setminus D_{(|f|,|g|)}[g]) + \delta < \epsilon.$$

#### Lemma

If  $G$  is sufficiently generic then  $f^G$  is uniformly a.e. dominating.

#### Lemma (Key Lemma)

Let  $\mathbf{p} \in \mathbb{P}$ . Then there is a c.e. set

$$S \subset \{f^q : \mathbf{q} \leq \mathbf{p}\}$$

and a  $\mathbf{p}^* \leq \mathbf{p}$  such that  $\{\mathbf{q} \leq \mathbf{p}^* : f^q \in S\}$  is dense below  $\mathbf{p}^*$ .

### Avoiding

#### Lemma (Cone Avoiding)

If  $G \subset \mathbb{P}$  is generic over a non-computable  $A$ , then  $\Psi(f^G) \neq A$ .

#### Lemma (Avoiding PA degrees)

If  $G \subset \mathbb{P}$  is generic, then  $f^G$  does not have PA-degree.

#### Lemma (Avoiding DNR degrees)

If  $G \subset \mathbb{P}$  is generic, then  $f^G$  does not have DNR-degree.

THANKS!